



Cayman Monetary Regulatory Authority International

At the forefront of financial regulation, the Cayman Monetary Regulatory Authority International (CMRAI) is dedicated to upholding the highest standards of financial oversight and compliance. Our mission is to safeguard the stability and integrity of the global financial system by ensuring that financial services operate within a framework of transparency, accountability, and excellence.

As a trusted partner to financial institutions worldwide, CMRAI provides rigorous supervision, innovative solutions, and strategic guidance to foster a secure and thriving financial environment. With decades of experience and a commitment to global standards, we stand as a pillar of trust and security in an ever-evolving financial landscape.

With a legacy of excellence in financial oversight, the Cayman Monetary Regulatory Authority International (CMRAI) is a beacon of trust in the international financial community. Our role extends beyond regulation; we are innovators, collaborators, and protectors of the global financial ecosystem. By fostering compliance, promoting best practices, and embracing technological advancements, CMRAI ensures that financial services remain resilient and adaptable in a dynamic global market.

Our comprehensive approach to regulation encompasses a deep understanding of financial risks and a proactive stance on emerging challenges. We are committed to empowering financial institutions with the tools and guidance necessary to navigate complex regulatory landscapes, thereby contributing to global economic stability and growth.

Cayman KY1 1001, Cayman Islands 29 April 2021 NOTICE RE: Financial Sanctions Notice The Cayman Monetary Regulatory Authority International (CMRAI) hereby notifies you that it has received a new Notice from the Office of Financial Sanctions Implementation, HM Treasury (OFSI), which is attached as an Annex to this Notice. 1. What you must do: A. In the case of an addition or amendment of a person to the Consolidated List and asset freeze: i. Check whether you maintain any accounts or hold any funds or economic resources for the persons set out in the OFSI Notice; ii. Freeze any such accounts and other funds or economic resources. iii. Refrain from dealing with the funds or assets or making them available (directly or indirectly) to such persons unless licensed by the Governor. iv. Report any findings to the Financial Reporting Authority (FRA) at together with any additional information that would facilitate compliance with the relevant legislative requirements. v. Provide any information concerning the frozen assets of designated persons to the FRA at and submitting a compliance reporting form. Information reported to FRA may be passed to other regulatory authorities or law enforcement. B. In the case of the removal of a person from the Consolidated List and unfreezing of assets i. Check whether you have frozen assets of any person or entity removed from the Consolidated List and verify that the person is no longer subject to an asset freeze. ii. Remove the person from your institution s list of persons or entities subject to financial sanction. iii. Un-freeze the assets of the person and where necessary re-activate all relevant accounts. 2 iv. Send advice to the person that the assets are no longer subject to an asset freeze. v. Advise the FRA at of the actions taken. 2. Failure to comply with financial sanctions legislation or to seek to circumvent its provisions is a criminal offence. Further Information. For general information on financial sanctions please see FRAs Industry Guidance on targeted financial sanctions. 21%20FRA%20Financial%20Sanctions%20Guidance%20(Final).pdf. Enquiries regarding this sanctions notice should be addressed to The Sanctions Coordinator Financial Reporting Authority P.O. Box 1054 Grand Cayman KY1-1102 Cayman Islands REGIME: Global Human Rights INDIVIDUAL 1. Names (Last): Hlaing (1): Min (2): Aung (3): n/a (4): n/a (5): n/a Title: n/a Position: Commander-in-Chief of the Myanmar Armed Forces (Tatmadaw) A.K.A: n/a Date of Birth: 03/07/1956 Place of Birth: Tavoy Nationality: Myanmar Passport Details: n/a Address: Myanmar Other Information Listed under the Global Human Rights and Myanmar sanctions regimes. Listed On: 06/07/2020 Last Updated: 29/04/2021 Group ID: 13897 REGIME: Myanmar INDIVIDUAL 1. Names (Last): Swe (1): Nyi (2): Nyi (3): n/a (4): n/a (5): n/a Title: Major General Position: Former leader of the Northern Command of the Myanmar Military (Tatmadaw) 3 A.K.A: n/a Date of Birth: n/a Place of Birth: n/a Nationality: Myanmar Passport Details: n/a Address: Myanmar Other Information Date designated on UK Sanctions List: 29/04/2021 Listed On: 24/12/2018 Last Updated: 29/04/2021 Group ID: 13739 2. Names (Last): Thu (1): Aung (2): Myo (3): n/a (4): n/a (5): n/a Title: Major Position: Field Unit Commander of 33rd Light Infantry Division (LID) of the Myanmar Armed Forces (Tatmadaw) A.K.A: n/a Date of Birth: n/a Place of Birth: n/a Nationality: Myanmar Passport Details: n/a Address: Myanmar Other Information Date designated on UK Sanctions List: 29/04/2021 Listed On: 24/12/2018 Last Updated: 29/04/2021 Group ID: 13736 3. Names (Last): Kyaw (1): Ba (2): n/a (3): n/a (4): n/a (5): n/a Title: Staff Sergeant Position: Staff Sergeant in the 564th Light Infantry Battalion (LIB) of Myanmar Armed Forces (Tatmadaw) A.K.A: n/a Date of Birth: n/a Place of Birth: n/a Nationality: Myanmar Passport Details: n/a Address: n/a Other Information Date designated on UK Sanctions List: 29/04/2021 Listed On: 24/12/2018 Last Updated: 29/04/2021 Group

ID: 13733 4. Names (Last): Chay (1): Kyaw (2): n/a (3): n/a (4): n/a (5): n/a Title: Corporal Position: A Corporal in the Border Guard Police (BGP) A.K.A: n/a 4 Date of Birth: n/a Place of Birth: n/a Nationality: Myanmar Passport Details: n/a Address: Myanmar Other Information Date designated on UK Sanctions List: 29/04/2021 Listed On: 24/12/2018 Last Updated: 29/04/2021 Group ID: 13738 5. Names (Last): Win (1): Thant (2): Zaw (3): n/a (4): n/a (5): n/a Title: Major Position: A Major in the 564th Light Infantry Battalion of the Myanmar Armed Forces (Tatmadaw) A.K.A: Win Than Zaw Date of Birth: n/a Place of Birth: n/a Nationality: Myanmar Passport Details: n/a Address: Myanmar Other Information Date designated on UK Sanctions List: 29/04/2021. Listed On: 24/12/2018 Last Updated: 29/04/2021 Group ID: 13737 6. Names (Last): Naing (1): Tun (2): n/a (3): n/a (4): n/a (5): n/a Title: Officer Position: Commanding officer of the Border Guard Police (BGP) base in Taung Bazar A.K.A: n/a Date of Birth: n/a Place of Birth: n/a Nationality: Myanmar Passport Details: n/a Address: n/a Other Information Date designated on UK Sanctions List: 29/04/2021. Listed On: 24/12/2018 Last Updated: 29/04/2021 Group ID: 13734 7. Names (Last): Oo (1): Thant (2): Zin (3): n/a (4): n/a (5): n/a Title: Commander Position: Commander of the 8th Security Police Battalion A.K.A: n/a Date of Birth: n/a Place of Birth: n/a 5 Nationality: Myanmar Passport Details: n/a Address: n/a Other Information Date designated on UK Sanctions List: 29/04/2021 Listed On: 26/06/2018 Last Updated: 29/04/2021 Group ID: 13693 8. Names (Last): Aung (1): Aung (2): n/a (3): n/a (4): n/a (5): n/a Title: Brigadier General Position: Commander of the 33rd Light Infantry Division of the Myanmar Armed Forces (Tatmadaw) A.K.A: n/a Date of Birth: n/a Place of Birth: n/a Nationality: Myanmar Passport Details: n/a Address: Mandalay Other Information Date designated on UK Sanctions List: 29/04/2021 Listed On: 26/06/2018 Last Updated: 29/04/2021 Group ID: 13686 9. Names (Last): Hlaing (1): Than (2): n/a (3): n/a (4): n/a (5): n/a Title: n/a Position: (1) Deputy Minister for Home Affairs (2) Chief of Police A.K.A: n/a Date of Birth: n/a Place of Birth: n/a Nationality: Myanmar Passport Details: n/a Address: Myanmar Other Information n/a Listed On: 18/02/2021 Last Updated: 29/04/2021 Group ID: 14057 10. Names (Last): Hlaing (1): Min (2): Aung (3): n/a (4): n/a (5): n/a Title: n/a Position: Commander-in-Chief of the Myanmar Armed Forces (Tatmadaw) A.K.A: n/a Date of Birth: 03/07/1956 Place of Birth: Tavoy Nationality: Myanmar Passport Details: n/a 6 Address: Myanmar Other Information Listed under the Global Human Rights and Myanmar sanctions regimes. Listed On: 25/02/2021 Last Updated: 29/04/2021 Group ID: 13897 11. Names (Last): Oo (1): Than (2): n/a (3): n/a (4): n/a (5): n/a Title: Brigadier General Position: Commander in the 99th Light Infantry Division of the Myanmar Armed Forces A.K.A: n/a Date of Birth: 12/10/1973 Place of Birth: n/a Nationality: Myanmar Passport Details: n/a Address: Meikthila Myanmar Other Information Date designated on UK Sanctions List: 29/04/2021 Listed On: 26/06/2018 Last Updated: 29/04/2021 Group ID: 13684 12. Names (Last): Zaw (1): Aung (2): Kyaw (3): n/a (4): n/a (5): n/a Title: Lieutenant General Position: (1) Chief of No 3 and No 5 Bureau of Special Operations, Office of the Commander-in-Chief of the Defense Services, Myanmar Army (2) Currently Chief of Bureau of Special Operations No 6 A.K.A: n/a Date of Birth: 20/08/1961 Place of Birth: n/a Nationality: Myanmar Passport Details: DM000826. Date of Issue: 22 November 2011. Date of Expiry: 21 November 2021 Address: Nay Pyi Taw Myanmar Other Information Date designated on UK Sanctions List: 29/04/2021 Listed On: 26/06/2018 Last Updated: 29/04/2021 Group ID: 13681 13. Names (Last): Soe (1): Khin (2): Maung (3): n/a (4): n/a (5): n/a Title: Brigadier General Position: Commander of the Military Operation Command 15 A.K.A: n/a Date of Birth: 00/00/1972 Place of Birth: n/a Nationality: Myanmar Passport Details: n/a 7 Address: Myanmar Other Information Date

designated on UK Sanctions List: 29/04/2021. Listed On: 26/06/2018 Last Updated: 29/04/2021 Group ID: 13689 14. Names (Last): Soe (1): Maung (2): Maung (3): n/a (4): n/a (5): n/a Title: Major General Position: Former Commander of the Myanmar Army s Western Command A.K.A: n/a Date of Birth: 00/03/1964 Place of Birth: n/a Nationality: Myanmar Passport Details: n/a Address: Myanmar Other Information Date designated on UK Sanctions List: 29/04/2021 Listed On: 26/06/2018 Last Updated: 29/04/2021 Group ID: 13682 15. Names (Last): Lwin (1): Thura (2): San (3): n/a (4): n/a (5): n/a Title: Brigadier General Position: Commander of the Border Guard Police A.K.A: n/a Date of Birth: 17/03/1959 Place of Birth: n/a Nationality: Myanmar Passport Details: n/a Address: n/a Other Information Date designated on UK Sanctions List: 29/04/2021 Listed On: 26/06/2018 Last Updated: 29/04/2021 Group ID: 13692 16. Names (Last): Hlaing (1): Khin (2): n/a (3): n/a (4): n/a (5): n/a Title: Brigadier General Position: (1) Commander of the Northeastern Command of the Myanmar Armed Forces (Tatmadaw) (2) Former Leader of the 99th Light Infantry Division (LID) of the Myanmar Military (Tatmadaw) A.K.A: n/a Date of Birth: 02/05/1968 Place of Birth: n/a Nationality: Myanmar Passport Details: n/a Address: Myanmar 8 Other Information Date designated on UK Sanctions List: 29/04/2021 Listed On: 24/12/2018 Last Updated: 29/04/2021 Group ID: 13735 17. Names (Last): Htut (1): Soe (2): n/a (3): n/a (4): n/a (5): n/a Title: n/a Position: Minister for Home Affairs and the Minister for the Office of the Union Government A.K.A: n/a Date of Birth: 00/03/1960 Place of Birth: n/a Nationality: Myanmar Passport Details: n/a Address: Myanmar Other Information Lt-Gen Soe Htut graduated from Intake 64 of the Myanmar military s Officer Training School. Became a regional commander in 2010. Listed On: 18/02/2021 Last Updated: 29/04/2021 Group ID: 14056 18. Names (Last): Oo (1): Mya (2): Tun (3): n/a (4): n/a (5): n/a Title: n/a Position: (1) Minister of Defence (2) State Administration Council Member A.K.A: Oo Mya Htun Date of Birth: 05/05/1961 Place of Birth: n/a Nationality: Myanmar Passport Details: n/a Address: Myanmar Other Information Joined Military 1980. Rapid rise to General 26 August 2016 (Chief of General Staff, Army, Navy and Air Force). Listed On: 18/02/2021 Last Updated: 29/04/2021 Group ID: 14058 19. Names (Last): San (1): Tin (2): Aung (3): n/a (4): n/a (5): n/a Title: n/a Position: (1) Commander-in-Chief of the Myanmar Navy (2) SAC Member A.K.A: n/a Date of Birth: 16/10/1960 Place of Birth: n/a Nationality: Myanmar Passport Details: n/a Address: Myanmar 9 Other Information n/a Listed On: 25/02/2021 Last Updated: 29/04/2021 Group ID: 14059 20. Names (Last): Kyaw (1): Maung (2): Maung (3): n/a (4): n/a (5): n/a Title: n/a Position: (1) Commander-in-Chief of Air Force (2) Member of State Administration Council A.K.A: n/a Date of Birth: 23/07/1964 Place of Birth: n/a Nationality: Myanmar Passport Details: n/a Address: Myanmar Other Information Air Force Serial: BAF1925. Listed On: 25/02/2021 Last Updated: 29/04/2021 Group ID: 14060 21. Names (Last): Dwe (1): Aung (2): Lin (3): n/a (4): n/a (5): n/a Title: n/a Position: (1) Secretary of the SAC (2) Judge Advocate General of the TMD (3) Secretary to the Peace Negotiation Committee A.K.A: n/a Date of Birth: 31/05/1962 Place of Birth: n/a Nationality: Myanmar Passport Details: n/a Address: Myanmar Other Information n/a Listed On: 25/02/2021 Last Updated: 29/04/2021 Group ID: 14061 22. Names (Last): Tun (1): Moe (2): Myint (3): n/a (4): n/a (5): n/a Title: n/a Position: SAC Member A.K.A: n/a Date of Birth: 24/05/1968 Place of Birth: n/a Nationality: Myanmar Passport Details: n/a Address: Myanmar Other Information n/a 10 Listed On: 25/02/2021 Last Updated: 29/04/2021 Group ID: 14062 Names (Last): Oo (1): Ye (2): Win (3): n/a (4): n/a (5): n/a Title: n/a Position: Joint Secretary of SAC A.K.A: n/a Date of Birth: 03/05/1968 Place of Birth: n/a Nationality: Myanmar Passport Details: n/a Address:

Myanmar Other Information n/a Listed On: 25/02/2021 Last Updated: 29/04/2021 Group ID: 14063