



Cayman Monetary Regulatory Authority International

At the forefront of financial regulation, the Cayman Monetary Regulatory Authority International (CMRAI) is dedicated to upholding the highest standards of financial oversight and compliance. Our mission is to safeguard the stability and integrity of the global financial system by ensuring that financial services operate within a framework of transparency, accountability, and excellence.

As a trusted partner to financial institutions worldwide, CMRAI provides rigorous supervision, innovative solutions, and strategic guidance to foster a secure and thriving financial environment. With decades of experience and a commitment to global standards, we stand as a pillar of trust and security in an ever-evolving financial landscape.

With a legacy of excellence in financial oversight, the Cayman Monetary Regulatory Authority International (CMRAI) is a beacon of trust in the international financial community. Our role extends beyond regulation; we are innovators, collaborators, and protectors of the global financial ecosystem. By fostering compliance, promoting best practices, and embracing technological advancements, CMRAI ensures that financial services remain resilient and adaptable in a dynamic global market.

Our comprehensive approach to regulation encompasses a deep understanding of financial risks and a proactive stance on emerging challenges. We are committed to empowering financial institutions with the tools and guidance necessary to navigate complex regulatory landscapes, thereby contributing to global economic stability and growth.

10052 Grand Cayman KY1 1001, Cayman Islands
im a.k y 28 July 2020
NOTICE
RE: Financial Sanctions Notice The Cayman Islands Monetary Authority (CMRAI) hereby notifies you that it has received a new Notice from the Office of Financial Sanctions Implementation, HM Treasury (OFSI), which is attached as an Annex to this Notice.

1. What you must do:

A. In the case of an addition or amendment of a person to the Consolidated List and asset freeze:

- Check whether you maintain any accounts or hold any funds or economic resources for the persons set out in the OFSI Notice;
- Freeze any such accounts and other funds or economic resources.
- Refrain from dealing with the funds or assets or making them available (directly or indirectly) to such persons unless licensed by the Governor.
- Report any findings to the Financial Reporting Authority (FRA) at financialsanctions together with any additional information that would facilitate compliance with the relevant legislative requirements.
- Provide any information concerning the frozen assets of designated persons to the FRA at financialsanctions and submitting a compliance reporting form. Information reported to FRA may be passed to other regulatory authorities or law enforcement.

B. In the case of the removal of a person from the Consolidated List and unfreezing of assets:

- Check whether you have frozen assets of any person or entity removed from the Consolidated List and verify that the person is no longer subject to an asset freeze.
- Remove the person from your institution's list of persons or entities subject to financial sanctions.
- Un-freeze the assets of the person and where necessary re-activate all relevant accounts.
- Send advice to the person that the assets are no longer subject to an asset freeze.
- Advise the FRA at financialsanctions of the actions taken.

2. Failure to comply with financial sanctions legislation or to seek to circumvent its provisions is a criminal offence. Further Information. For general information on financial sanctions please see FRAs Industry Guidance on targeted financial sanctions. -FRAGuidanceTargetedFinancialSanction.pdf. Enquiries regarding this sanctions notice should be addressed to The Sanctions Coordinator Financial Reporting Authority P.O. Box 1054 Grand Cayman KY1-1102 Cayman Islands FinancialSanctions REGIME: Yemen

INDIVIDUAL 1. Names (Last): Al Hakim (1): Abdulla h (2): Yahya (3): n/a (4): n/a (5): n/a
Title : n/a Position: Huthi group second-in-command A.K.A: (1) al Hakim Abu Ali, (2) al-Hakim Abu-Ali, (3) al-Hakim Abdallah, (4) Alhakim Abu Ali, (5) al-Muayyad Abdallah Date of Birth: (1) 00/00/1985, (2) 00/00/1984, (3) 00/00/1986 Place of Birth: (1) Dahyan (2) Saadah Governorate Nationality: Yemen Passport Details: n/a Address: Dahyan Saadah Governorate Yemen Other Information UN Ref: YEi.002. Gender: male. 1985 DOB is approximate. Listed On: 19/12/2014 Last Updated: 28/07/2020 Group ID: 13190

2. Names (Last): Al-Houthi (1): Abd (2): Al-Khaliq (3): n/a (4): n/a (5): n/a
Title : n/a Position: Huthi military commander A.K.A: (1) al-Huthi Abd-al-Khaliq, (2) al Huthi Abd-al-Khaliq Badr-al-Din, (3) al-Huthi Abd al-Khaliq Badr al-Din, (4) al-Huthi Abd al-Khaliq Date of Birth: 00/00/1984 Place of Birth: n/a Nationality: Yemen Passport Details: n/a 3 Address: n/a Other Information UN Ref: YEi.001. Gender: male. Also referred to as Abu-Yunus. Listed On: 19/12/2014 Last Updated: 28/07/2020 Group ID: 13191

3. Names (Last): Saleh (1): Ali (2): Abdulla h (3): n/a (4): n/a (5): n/a
Title : n/a Position: President of Yemen's General People's Congress party A.K.A: Saleh Ali Abdallah Date of Birth: (1) 21/03/1945, (2) 21/03/1946, (3) 21/03/1942, (4) 21/03/1947 Place of Birth: (1) Bayt al-Ahmar, Sana'a Governorate (2) Sana'a (3) Sana'a, Sanhan, Al-Rib' al-Sharqi Nationality: Yemen Passport Details: 00016161

(Yemen) Address: n/a Other Information UN Ref YEI.003. Gender: male. Former President of the Republic of Yemen. Reportedly deceased. Listed On: 19/12/2014 Last Updated: 28/07/2020 Group ID: 13192 4. Names (Last): al -Houthi (1): Ab d ulma lik (2): n/a (3): n/a (4): n/a (5): n/a Title : n/a Position: Leader of Yemen's Houthi Movement A.K.A: al -Huthi Ab d ulma lik Date of Birth: n/a Place of Birth: n/a Nationality: n/a Passport Details: n/a Address: n/a Other Information UN Ref: YEI.004. Has engaged in acts that threaten the peace, security or stability of Yemen. Listed On: 09/06/2015 Last Updated: 28/07/2020 Group ID: 13253 Names (Last): Saleh (1): Ahmed (2): Ali (3): Abdullah (4): n/a (5): n/a Title: n/a Position: n/a A.K.A: Al-Ahmar Ahmed Ali Abdullah Date of Birth: 25/07/1972 Place of Birth: n/a Nationality: Yemeni 4 Passport Details: (1) 17979 (Yemeni). Issued under name Ahmed Ali Abdullah Saleh (2) 02117777 (Yemeni). Issued on 8.11.2005 under name Ahmed Ali Abdullah Al-Ahmar (3) 06070777 (Yemeni). Issued on 3.12.2014 under name Ahmed Ali Abdullah Al-Ahmar. Address: United Arab Emirates Other Information UN Ref: YEI.005. Former Ambassador. Former Brigadier General. Played a key role in facilitating the Houthi military expansion. Has engaged in acts that threaten the peace, security, or stability of Yemen. He is the son of the former President of the Republic of Yemen, Ali Abdullah Saleh (YEI.003). Comes from an area known as Bayt Al-Ahmar, which lies some 20 kilometres southeast of capital, Sana'a. Listed On: 09/06/2015 Last Updated: 28/07/2020 Group ID: 13254