



Cayman Monetary Regulatory Authority International

At the forefront of financial regulation, the Cayman Monetary Regulatory Authority International (CMRAI) is dedicated to upholding the highest standards of financial oversight and compliance. Our mission is to safeguard the stability and integrity of the global financial system by ensuring that financial services operate within a framework of transparency, accountability, and excellence.

As a trusted partner to financial institutions worldwide, CMRAI provides rigorous supervision, innovative solutions, and strategic guidance to foster a secure and thriving financial environment. With decades of experience and a commitment to global standards, we stand as a pillar of trust and security in an ever-evolving financial landscape.

With a legacy of excellence in financial oversight, the Cayman Monetary Regulatory Authority International (CMRAI) is a beacon of trust in the international financial community. Our role extends beyond regulation; we are innovators, collaborators, and protectors of the global financial ecosystem. By fostering compliance, promoting best practices, and embracing technological advancements, CMRAI ensures that financial services remain resilient and adaptable in a dynamic global market.

Our comprehensive approach to regulation encompasses a deep understanding of financial risks and a proactive stance on emerging challenges. We are committed to empowering financial institutions with the tools and guidance necessary to navigate complex regulatory landscapes, thereby contributing to global economic stability and growth.

Financial Sanctions Notice April 26, 2021 The Cayman Monetary Regulatory Authority International (CMRAI) hereby notifies you that it has received a new Notice from the Office of Financial Sanctions Implementation, HM Treasury (OFSI), which is attached as an Annex to this Notice. 1. What you must do: A. In the case of an addition or amendment of a person to the Consolidated List and asset freeze: i. Check whether you maintain any accounts or hold any funds or economic resources for the persons set out in the OFSI Notice; ii. Freeze any such accounts and other funds or economic resources. iii. Refrain from dealing with the funds or assets or making them available (directly or indirectly) to such persons unless licensed by the Governor. iv. Report any findings to the Financial Reporting Authority (FRA) at together with any additional information that would facilitate compliance with the relevant legislative requirements. v. Provide any information concerning the frozen assets of designated persons to the FRA at and submitting a compliance reporting form. Information reported to FRA may be passed to other regulatory authorities or law enforcement. B. In the case of the removal of a person from the Consolidated List and unfreezing of assets i. Check whether you have frozen assets of any person or entity removed from the Consolidated List and verify that the person is no longer subject to an asset freeze. ii. Remove the person from your institution s list of persons or entities subject to financial sanction. iii. Un-freeze the assets of the person and where necessary re-activate all relevant accounts. iv. Send advice to the person that the assets are no longer subject to an asset freeze. v. Advise the FRA at of the actions taken. 2. Failure to comply with financial sanctions legislation or to seek to circumvent its provisions is a criminal offence. Further Information. For general information on financial sanctions please see FRAs Industry Guidance on targeted financial sanctions.

21%20FRA%20Financial%20Sanctions%20Guidance%20(Final).pdf. Enquiries regarding this sanctions notice should be addressed to The Sanctions Coordinator Financial Reporting Authority P.O. Box 1054 Grand Cayman KY1-1102 Cayman Islands REGIME: Global Anti-Corruption INDIVIDUAL 1. Names (Last): Klyuev (1): Dmitry (2): Vladislavovich (3): n/a (4): n/a (5): n/a Title: n/a Position: Owner of Universal Savings Bank A.K.A: (1) Chelter Dima, (2) Klyuev Dmitriy Vladislavovich Date of Birth: 10/08/1967 Place of Birth: Moscow Nationality: Russia Passport Details: n/a Address: n/a Other Information n/a Listed On: 26/04/2021 Last Updated: 26/04/2021 Group ID: 14091 2. Names (Last): Kuznetsov (1): Artem (2): Konstantinovich (3): n/a (4): n/a (5): n/a Title: Lt. Colonel Position: (1) Deputy Division Head, Tax Crimes Department of the Moscow Branch of the Interior Ministry in 2007. (2) After Magnitsky s death he was promoted to the Interior Ministry s Economic Security Department. A.K.A: Kuznetsov Artyom Konstantinovich Date of Birth: 28/02/1975 Place of Birth: Baku Nationality: Russia Passport Details: n/a Address: n/a Other Information n/a Listed On: 26/04/2021 Last Updated: 26/04/2021 Group ID: 14092 3. Names (Last): Urzhumtsev (1): Oleg (2): Vyacheslavovich (3): n/a (4): n/a (5): n/a Title: Lt Col Position: Formerly Senior Investigator of the Department for Investigating Organised Economic Criminal Activity of the Investigative Committee under the Ministry of Internal Affairs of Russia A.K.A: n/a Date of Birth: 22/10/1968 Place of Birth: n/a Nationality: Russia Passport Details: n/a Address: n/a Other Information n/a Listed On: 26/04/2021 Last Updated: 26/04/2021 Group ID: 14093 4. Names (Last): Karpov (1): Pavel (2): Aleksandrovich (3): n/a (4): n/a (5): n/a Title: Major Position: (1) Formerly described as Investigator of the Investigative Unit of the Moscow branch of the Interior Ministry (2) Senior Investigating Officer for Major Cases. Retired July 2012. A.K.A: Karpov Pavel Alexandrovich Date of Birth: 27/08/1977 Place of Birth: Moscow Nationality: Russia Passport Details:

604176957 (likely expired) Address: n/a Other Information n/a Listed On: 26/04/2021 Last Updated: 26/04/2021 Group ID: 14094 5. Names (Last): Mayorova (1): Yulia (2): Mikhailovna (3): n/a (4): n/a (5): n/a Title: n/a Position: Lawyer A.K.A: (1) Maiorova Yuliya Mikhaylovna, (2) Mayorova Yuliya Mikhaylovna, (3) Maiorova Yulia Mikhailovna Date of Birth: 23/04/1979 Place of Birth: Moscow Nationality: Russia Passport Details: 622264502 (as of 2006). Address: n/a Other Information n/a Listed On: 26/04/2021 Last Updated: 26/04/2021 Group ID: 14095 6. Names (Last): Gordievsky (1): Stanislav (2): Evgenievich (3): n/a (4): n/a (5): n/a Title: Major Position: Investigator of the Investigative Department of the South Administrative District, of the Prosecutor Service in Moscow A.K.A: (1) Gordievsky Stanislav Yevgyenyevich, (2) Gordievskiy Stanislav Evgenievich, (3) Gordievskiy Stanislav Yevgyenyevich Date of Birth: 09/09/1977 Place of Birth: n/a Nationality: Russia Passport Details: n/a Address: n/a Other Information n/a Listed On: 26/04/2021 Last Updated: 26/04/2021 Group ID: 14096 7. Names (Last): Ali (1): Ashraf (2): Said (3): Ahmed (4): Hussein (5): n/a Title: n/a Position: Businessman A.K.A: (1) Al-Cardinal, (2) Ali Ashraf Seed Ahmed Hussein, (3) Ali Ashraf Seed Ahmed, (4) Ali Ashraf Sayed, (5) Ali Ashraf Seed Ahmed, (6) Ali Ashraf Hussein, (7) Ali Ashraff Seed Ahmed Date of Birth: (1) 00/01/1957, (2) 01/04/1957 Place of Birth: n/a Nationality: (1) Sudan (2) South Sudan (3) possibly United Arab Emirates Passport Details: B00018325 Address: Dubai United Arab Emirates (UAE) Other Information n/a Listed On: 26/04/2021 Last Updated: 26/04/2021 Group ID: 14097 8. Names (Last): Gupta (1): Ajay (2): Kumar (3): n/a (4): n/a (5): n/a Title: Mr Position: Businessperson A.K.A: n/a Date of Birth: 05/02/1956 Place of Birth: Saharanpur Nationality: (1) India (2) South Africa Passport Details: (1) Z1876211. Expiry: 03 Sep 2018. (2) Z1440582. (3) Z2325724. Address: Dubai United Arab Emirates (UAE) Other Information Associated with: Atul Gupta (brother/business partner), Rajesh Kumar Gupta (brother/business partner), Salim Essa (business partner). Listed On: 26/04/2021 Last Updated: 26/04/2021 Group ID: 14098 9. Names (Last): Stepanova (1): Olga (2): Germanovna (3): n/a (4): n/a (5): n/a Title: n/a Position: Previous Head of Tax Office no. 28 A.K.A: Stepanova Olga Hermanovna Date of Birth: 29/07/1962 Place of Birth: Moscow Nationality: Russia Passport Details: n/a Address: n/a Other Information Wife of Vladlen Stepanov at the time of the serious corruption. Listed On: 26/04/2021 Last Updated: 26/04/2021 Group ID: 14099 10. Names (Last): Gupta (1): Atul (2): Kumar (3): n/a (4): n/a (5): n/a Title: Mr Position: Businessperson A.K.A: n/a Date of Birth: 14/06/1968 Place of Birth: Saharanpur Nationality: (1) India (2) South Africa Passport Details: (1) 233646059. Expiry: 04 Jun 2018. (2) 477486059. Expiry: 04 Jun 2018. (3) 589623059. Expiry: 04 Jun 2018. Address: Dubai United Arab Emirates (UAE) Other Information Associated with: Ajay Kumar Gupta (brother/business partner), Rajesh Kumar Gupta (brother/business partner), Salim Essa (business partner). Listed On: 26/04/2021 Last Updated: 26/04/2021 Group ID: 14100 11. Names (Last): Stepanov (1): Vladlen (2): Yurievich (3): n/a (4): n/a (5): n/a Title: n/a Position: n/a A.K.A: Stepanov Vladlen Yuryevich Date of Birth: 17/07/1962 Place of Birth: n/a Nationality: n/a Passport Details: n/a Address: n/a Other Information Husband of Olga Stepanova at the time of the serious corruption. Listed On: 26/04/2021 Last Updated: 26/04/2021 Group ID: 14101 12. Names (Last): Gupta (1): Rajesh (2): Kumar (3): n/a (4): n/a (5): n/a Title: Mr Position: Businessperson A.K.A: Gupta Tony Date of Birth: 05/08/1972 Place of Birth: Saharanpur Nationality: (1) India (2) South Africa Passport Details: (1) M00069726. Expiry: 13 Sep 2022. (2) 462064042. Expiry: 26 Jul 2016. (3) M00006520. Expiry: 26 Jul 2019. (4) M00138156. Expiry: 04 Feb 2025. Address: Dubai United Arab Emirates (UAE) Other Information Associated with: Ajay Kumar Gupta (brother/business

partner), Atul Kumar Gupta (brother/business partner), Salim Essa (business partner). Listed On: 26/04/2021 Last Updated: 26/04/2021 Group ID: 14102 13. Names (Last): Essa (1): Salim (2): Azziz (3): n/a (4): n/a (5): n/a Title: Mr Position: Businessperson A.K.A: n/a Date of Birth: 15/01/1978 Place of Birth: Johannesburg Nationality: South Africa Passport Details: (1) M00073786. Expiry: 08 Nov 2022. (2) 481034886. Expiry: 06 Nov 2018. (3) M00134539. Expiry: 28 Dec 2024. (4) 48103. Expiry: 08 Nov 2022. Address: Dubai United Arab Emirates (UAE) Other Information Ajay Kumar Gupta (business partner), Atul Kumar Gupta (business partner), Rajesh Kumar Gupta (business partner). Listed On: 26/04/2021 Last Updated: 26/04/2021 Group ID: 14087 14. Names (Last): Khimina (1): Yelena (2): Ivanovna (3): n/a (4): n/a (5): n/a Title: n/a Position: Head of Moscow Tax Office No. 25 A.K.A: Khimina Elena Ivanovna Date of Birth: 11/09/1953 Place of Birth: Moscow Nationality: Russia Passport Details: n/a Address: n/a Other Information n/a Listed On: 26/04/2021 Last Updated: 26/04/2021 Group ID: 14083 15. Names (Last): Najera (1): Oscar (2): Ramon (3): n/a (4): n/a (5): n/a Title: n/a Position: Congressman A.K.A: n/a Date of Birth: 12/12/1950 Place of Birth: n/a Nationality: Honduras Passport Details: n/a Address: Honduras Other Information n/a Listed On: 26/04/2021 Last Updated: 26/04/2021 Group ID: 14088 16. Names (Last): Alejos Lorenzana (1): Felipe (2): n/a (3): n/a (4): n/a (5): n/a Title: n/a Position: Deputy and Vice President of the Board of Directors of the Congress of Guatemala A.K.A: n/a Date of Birth: 03/10/1984 Place of Birth: n/a Nationality: Guatemala Passport Details: n/a Address: n/a Other Information n/a Listed On: 26/04/2021 Last Updated: 26/04/2021 Group ID: 14089 17. Names (Last): Pavlov (1): Andrey (2): Alekseyevich (3): n/a (4): n/a (5): n/a Title: n/a Position: Lawyer A.K.A: (1) Pavlov Andrei Alekseevich, (2) Pavlov Andrei Alexeyevich, (3) Pavlov Andrei Alexeevich Date of Birth: 07/08/1977 Place of Birth: n/a Nationality: Russia Passport Details: n/a Address: n/a Other Information n/a Listed On: 26/04/2021 Last Updated: 26/04/2021 Group ID: 14084 18. Names (Last): Lopez Centeno (1): Jose (2): Francisco (3): n/a (4): n/a (5): n/a Title: n/a Position: (1) Treasurer of Sandinista Party (2) Minister (Advisory) of Production and Trade A.K.A: Lopez Centeno Chico Lopez Date of Birth: 17/09/1950 Place of Birth: n/a Nationality: Nicaragua Passport Details: C0915261 (Nicaragua). Address: Nicaragua Other Information n/a Listed On: 26/04/2021 Last Updated: 26/04/2021 Group ID: 14090 19. Names (Last): Sheshenya (1): Aleksey (2): Nikolaevich (3): n/a (4): n/a (5): n/a Title: n/a Position: n/a A.K.A: (1) Sheshenia Aleksei Nikolayevich, (2) Sheshenia Alexei Nikolayevich, (3) Sheshenia Alexey Nikolayevich Date of Birth: 16/04/1971 Place of Birth: Kommunarsk, Voroshilov region Nationality: n/a Passport Details: n/a Address: n/a Other Information n/a Listed On: 26/04/2021 Last Updated: 26/04/2021 Group ID: 14085 20. Names (Last): Plaksin (1): Gennady (2): Nikolaevich (3): n/a (4): n/a (5): n/a Title: n/a Position: Former Chairman of the Universal Savings Bank A.K.A: Plaksin Gennadiy Nikolayevich Date of Birth: 30/08/1961 Place of Birth: Klin, Moscow Region Nationality: n/a Passport Details: n/a Address: n/a Other Information n/a Listed On: 26/04/2021 Last Updated: 26/04/2021 Group ID: 14086 21. Names (Last): Khlebnikov (1): Vyacheslav (2): Georgievich (3): n/a (4): n/a (5): n/a Title: n/a Position: Businessman A.K.A: Khlebnikov Viacheslav Georgiyevich Date of Birth: 09/07/1967 Place of Birth: Tambov Nationality: Russia Passport Details: n/a Address: n/a Other Information n/a Listed On: 26/04/2021 Last Updated: 26/04/2021 Group ID: 14103 22. Names (Last): Markelov (1): Viktor (2): Aleksandrovich (3): n/a (4): n/a (5): n/a Title: n/a Position: Businessman A.K.A: Markelov Viktor Alexandrovich Date of Birth: 15/12/1957 Place of Birth: Leninskoye village, Uzganskiy District, Osh region Nationality: Russia Passport Details: n/a Address: n/a Other Information n/a Listed On: 26/04/2021 Last Updated: 26/04/2021 Group ID: 14104