



Cayman Monetary Regulatory Authority International

At the forefront of financial regulation, the Cayman Monetary Regulatory Authority International (CMRAI) is dedicated to upholding the highest standards of financial oversight and compliance. Our mission is to safeguard the stability and integrity of the global financial system by ensuring that financial services operate within a framework of transparency, accountability, and excellence.

As a trusted partner to financial institutions worldwide, CMRAI provides rigorous supervision, innovative solutions, and strategic guidance to foster a secure and thriving financial environment. With decades of experience and a commitment to global standards, we stand as a pillar of trust and security in an ever-evolving financial landscape.

With a legacy of excellence in financial oversight, the Cayman Monetary Regulatory Authority International (CMRAI) is a beacon of trust in the international financial community. Our role extends beyond regulation; we are innovators, collaborators, and protectors of the global financial ecosystem. By fostering compliance, promoting best practices, and embracing technological advancements, CMRAI ensures that financial services remain resilient and adaptable in a dynamic global market.

Our comprehensive approach to regulation encompasses a deep understanding of financial risks and a proactive stance on emerging challenges. We are committed to empowering financial institutions with the tools and guidance necessary to navigate complex regulatory landscapes, thereby contributing to global economic stability and growth.

Financial Sanctions Notice January 20, 2021 The Cayman Monetary Regulatory Authority International (CMRAI) hereby notifies you that it has received a new Notice from the Office of Financial Sanctions Implementation, HM Treasury (OFSI), which is attached as an Annex to this Notice. What you must do: A. In the case of an addition or amendment of a person to the Consolidated List and asset freeze: i. Check whether you maintain any accounts or hold any funds or economic resources for the persons set out in the OFSI Notice; ii. Freeze any such accounts and other funds or economic resources. iii. Refrain from dealing with the funds or assets or making them available (directly or indirectly) to such persons unless licensed by the Governor. iv. Report any findings to the Financial Reporting Authority (FRA) at together with any additional information that would facilitate compliance with the relevant legislative requirements. v. Provide any information concerning the frozen assets of designated persons to the FRA at and submitting a compliance reporting form. Information reported to FRA may be passed to other regulatory authorities or law enforcement. B. In the case of the removal of a person from the Consolidated List and unfreezing of assets i. Check whether you have frozen assets of any person or entity removed from the Consolidated List and verify that the person is no longer subject to an asset freeze. ii. Remove the person from your institution s list of persons or entities subject to financial sanction. iii. Un-freeze the assets of the person and where necessary re-activate all relevant accounts. iv. Send advice to the person that the assets are no longer subject to an asset freeze. v. Advise the FRA at of the actions taken. 2. Failure to comply with financial sanctions legislation or to seek to circumvent its provisions is a criminal offence. Further Information. For general information on financial sanctions please see FRAs Industry Guidance on targeted financial sanctions.

21%20FRA%20Financial%20Sanctions%20Guidance%20(Final).pdf. Enquiries regarding this sanctions notice should be addressed to The Sanctions Coordinator Financial Reporting Authority P.O. Box 1054 Grand Cayman KY1-1102 Cayman Islands REGIME: Counter-Terrorism (International) ENTITY 1. Names (Last): Hamas including Izz al-Din al-Qassam Brigades (1): n/a (2): n/a (3): n/a (4): n/a (5): n/a A.K.A: n/a Other Information Date designated on UK Sanctions List: 31/12/2020 Listed On: 15/09/2003 Last Updated: 20/01/2021 Group ID: 7855 REGIME: Democratic Republic of the Congo INDIVIDUAL 1. Names (Last): Bwambale (1): Frank (2): Kakolele (3): n/a (4): n/a (5): n/a Title: n/a Position: FARDC General A.K.A: (1) Kakorere Frank, (2) Bwambale Frank Kakorere, (3) Blanc Aigle Date of Birth: n/a Place of Birth: n/a Nationality: Democratic Republic of the Congo Passport Details: n/a Address: Kinshasa Democratic Republic of the Congo (as of June 2016) Other Information (UN Ref): CDi.002 Listed On: 02/11/2005 Last Updated: 20/01/2021 Group ID: 8732 2. Names (Last): Bukande (1): J r me (2): Kakwavu (3): n/a (4): n/a (5): n/a Title: General Position: Former General in the FARDC A.K.A: (1) Kakwavu J r me, (2) J r me Commandant Date of Birth: n/a Place of Birth: Goma Nationality: Democratic Republic of the Congo Passport Details: n/a Address: n/a Other Information (UN Ref):CDi.005 Listed On: 02/11/2005 Last Updated: 20/01/2021 Group ID: 8707 3. Names (Last): Lubanga (1): Thomas (2): n/a (3): n/a (4): n/a (5): n/a Title: n/a Position: n/a A.K.A: n/a Date of Birth: n/a Place of Birth: Ituri Nationality: Democratic Republic of the Congo Passport Details: n/a Address: Democratic Republic of the Congo (in prison) Other Information (UN Ref):CDi.007 Listed On: 02/11/2005 Last Updated: 20/01/2021 Group ID: 8738 4. Names (Last): Myamuro (1): Baudoin (2): Ngaruye (3): Wa (4): n/a (5): n/a Title: Brigadier General Position: Military Leader of the movement du Mars (M23) A.K.A: Ngaruye Colonel Baudoin Date of Birth: (1) 1978, (2) 01/04/1978 Place of Birth: (1) Bibwe (2) Lusamambo, Lubero territory Nationality: Democratic Republic of the Congo Passport Details: 1-78-09-44621-80

Address: Rubavu / Mudende Rwanda Other Information (UN Ref):CDi.019 Listed On: 28/02/2013 Last Updated: 20/01/2021 Group ID: 12828 5. Names (Last): Ozia Mazio (1): Dieudonn (2): n/a (3): n/a (4): n/a (5): n/a Title: n/a Position: Former president of the Fédération des entreprises congolaises (FEC) in ARU territory A.K.A: (1) Ozia Mazio, (2) Omari, (3) Mr Omari Date of Birth: 06/06/1949 Place of Birth: Ariwara Nationality: Democratic Republic of the Congo Passport Details: n/a Address: n/a Other Information (UN Ref): CDi.027. Listed On: 02/11/2005 Last Updated: 20/01/2021 Group ID: 8705 6. Names (Last): Badege (1): Eric (2): n/a (3): n/a (4): n/a (5): n/a Title: n/a Position: n/a A.K.A: n/a Date of Birth: 1971 Place of Birth: n/a Nationality: Democratic Republic of the Congo Passport Details: n/a Address: Rwanda (as of early 2016) Other Information (UN Ref): CDi.001 Listed On: 23/01/2013 Last Updated: 20/01/2021 Group ID: 12838 7. Names (Last): Njabu (1): Floribert (2): Ngabu (3): n/a (4): n/a (5): n/a Title: n/a Position: President of FNI A.K.A: (1) Ngabu Floribert Njabu, (2) Ndjabu Floribert, (3) Ndjabu Floribert Ngabu Date of Birth: 23/05/1971 Place of Birth: n/a Nationality: Democratic Republic of the Congo Passport Details: Passport No OB 0243318 Address: n/a Other Information (UN Ref):CDi.021 Listed On: 02/11/2005 Last Updated: 20/01/2021 Group ID: 8737 8. Names (Last): Katanga (1): Germain (2): n/a (3): n/a (4): n/a (5): n/a Title: n/a Position: n/a A.K.A: n/a Date of Birth: 28/04/1978 Place of Birth: Mambasa, Ituri Province Nationality: Democratic Republic of the Congo Passport Details: n/a Address: Democratic Republic of the Congo (in prison) Other Information (UN Ref):CDi.006 Listed On: 02/11/2005 Last Updated: 20/01/2021 Group ID: 8735 9. Names (Last): Zimurinda (1): Innocent (2): n/a (3): n/a (4): n/a (5): n/a Title: Lieutenant Colonel Position: M23, Bde Comd, Rank: Colonel and in the FARDC A.K.A: Zimulinda Date of Birth: (1) 01/09/1972, (2) 1975, (3) 16/03/1972 Place of Birth: Ngungu, Masisi Territory, North Kivu Province, Masisi Nationality: Democratic Republic of the Congo Passport Details: n/a Address: Masisi Territory North Kivu Province Democratic Republic of the Congo Other Information (UN Ref): CDi.031 Listed On: 03/12/2010 Last Updated: 20/01/2021 Group ID: 11278 10. Names (Last): Mpamo (1): Iruta (2): Douglas (3): n/a (4): n/a (5): n/a Title: n/a Position: Owner/Manager of the Compagnie Aérienne des Grands Lacs and of Great Lakes Business Company (GLBC) A.K.A: (1) Mpamo Douglas Iruta, (2) Mpano Date of Birth: (1) 28/12/1965, (2) 29/12/1965 Place of Birth: (1)Bashali, Masisi (2) Goma (3) Uvira, Masisi Nationality: Democratic Republic of the Congo Passport Details: n/a Address: Gisenyi Rwanda (as of June 2011) Other Information (UN Ref):CDi.011 Listed On: 02/11/2005 Last Updated: 20/01/2021 Group ID: 8711 11. Names (Last): Mutebutsi (1): Jules (2): n/a (3): n/a (4): n/a (5): n/a Title: n/a Position: n/a A.K.A: (1) Mutebusi Jules, (2) Mutebuzi Jules, (3) Mutebutsi Colonel Date of Birth: 1964 Place of Birth: Minembwe, South Kivu Nationality: Democratic Republic of the Congo Passport Details: n/a Address: n/a Other Information (UN Ref):CDi.018 Listed On: 02/11/2005 Last Updated: 20/01/2021 Group ID: 8709 12. Names (Last): Mandro (1): Khawa (2): Panga (3): n/a (4): n/a (5): n/a Title: n/a Position: n/a A.K.A: (1) Panga Kawa, (2) Mandro Kawa Panga, (3) Mandro Kawa, (4) Karim Yves Andoul, (5) Kahwa Mandro Panga, (6) Mandro Yves Khawa Panga, (7) Kahwa Chief, (8) Kawa Date of Birth: 20/08/1973 Place of Birth: Bunia Nationality: Democratic Republic of the Congo Passport Details: n/a Address: Uganda (as of May 2016) Other Information (UN Ref):CDi.009 Listed On: 02/11/2005 Last Updated: 20/01/2021 Group ID: 8708 13. Names (Last): Nkunda (1): Laurent (2): n/a (3): n/a (4): n/a (5): n/a Title: n/a Position: (1) Former RCD-G General (2) Founder of the National Congress for the People's Defense, Senior Officer for the Rally for Congolese Democracy-Goma (RCD-G) (3) Officer for the Rwandan Patriotic Front (RPF) A.K.A: (1)

Laurent Nkunda Mihigo, (2) Bwatare Laurent Nkunda, (3) Nkundabatware Laurent, (4) Batware Laurent Nkunda Mahoro, (5) Batware Laurent Nkunda, (6) Chairman, (7) Nkunda General, (8) Six Papa Date of Birth: (1) 06/02/1967, (2) 02/02/1967 Place of Birth: North Kivu/Rutshuru Nationality: Democratic Republic of the Congo Passport Details: n/a Address: n/a Other Information (UN Ref): CDi.022. Also referred to as Chairman, General Nkunda and Papa Six. Listed On: 02/11/2005 Last Updated: 20/01/2021 Group ID: 8710

14. Names (Last): Ngudjolo (1): Mathieu (2): Chui (3): n/a (4): n/a (5): n/a Title: n/a Position: n/a A.K.A: Ngudjolo Cui Date of Birth: 08/10/1970 Place of Birth: Bunia, Ituri Province Nationality: Democratic Republic of the Congo Passport Details: n/a Address: Democratic Republic of the Congo Other Information (UN Ref):CDi.020 Listed On: 02/11/2005 Last Updated: 20/01/2021 Group ID: 8734

15. Names (Last): Makenga (1): Sultani (2): n/a (3): n/a (4): n/a (5): n/a Title: n/a Position: Military leader of the Mouvement du 23 Mars (M23) group operating in the Democratic Republic of the Congo A.K.A: (1) Makenga Colonel Sultani, (2) Makenga Emmanuel Sultani Date of Birth: 25/12/1973 Place of Birth: Rutshuru Nationality: Democratic Republic of the Congo Passport Details: n/a Address: n/a Other Information (UN Ref):CDi.008 Listed On: 28/02/2013 Last Updated: 20/01/2021 Group ID: 12812