



Cayman Monetary Regulatory Authority International

At the forefront of financial regulation, the Cayman Monetary Regulatory Authority International (CMRAI) is dedicated to upholding the highest standards of financial oversight and compliance. Our mission is to safeguard the stability and integrity of the global financial system by ensuring that financial services operate within a framework of transparency, accountability, and excellence.

As a trusted partner to financial institutions worldwide, CMRAI provides rigorous supervision, innovative solutions, and strategic guidance to foster a secure and thriving financial environment. With decades of experience and a commitment to global standards, we stand as a pillar of trust and security in an ever-evolving financial landscape.

With a legacy of excellence in financial oversight, the Cayman Monetary Regulatory Authority International (CMRAI) is a beacon of trust in the international financial community. Our role extends beyond regulation; we are innovators, collaborators, and protectors of the global financial ecosystem. By fostering compliance, promoting best practices, and embracing technological advancements, CMRAI ensures that financial services remain resilient and adaptable in a dynamic global market.

Our comprehensive approach to regulation encompasses a deep understanding of financial risks and a proactive stance on emerging challenges. We are committed to empowering financial institutions with the tools and guidance necessary to navigate complex regulatory landscapes, thereby contributing to global economic stability and growth.

SIX, Cricket Square P.O. Box 10052 Grand

Cayman KY1 1001, Cayman Islands

23 February 2024 NOTICE RE: Financial

Sanctions 1. The Cayman Monetary Regulatory Authority International (CMRAI) hereby notifies you that it has received a new Notice from the Office of Financial Sanctions Implementation, HM Treasury (OFSI), which is attached as an Annex to this Notice. 2.

What you must do: A. In the case of an addition or amendment of a person to the Consolidated List and asset freeze: i. Check whether you maintain any accounts or hold any funds or economic resources for the persons set out in the OFSI Notice; ii. Freeze any such accounts and other funds or economic resources. iii. Refrain from dealing with the funds or assets or making them available (directly or indirectly) to such persons unless licensed by the Governor. iv. Report any findings to the Financial Reporting Authority (FRA) at together with any additional information that would facilitate compliance with the relevant legislative requirements. v. Provide any information concerning the frozen assets of designated persons to the FRA at and submitting a compliance reporting form. Information reported to FRA may be passed to other regulatory authorities or law enforcement. B. In the case of the removal of a person from the Consolidated List and unfreezing of assets i. Check whether you have frozen assets of any person or entity removed from the Consolidated List and verify that the person is no longer subject to an asset freeze. ii. Remove the person from your institution s list of persons or entities subject to financial sanction. iii. Un-freeze the assets of the person and where necessary re-activate all relevant accounts. iv. Send advice to the person that the assets are no longer subject to an asset freeze. SIX, Cricket Square P.O. Box 10052 Grand Cayman KY1 1001, Cayman Islands

v. Advise the FRA at of the actions taken. 3. Failure to comply with financial sanctions legislation or to seek to circumvent its provisions is a criminal offence. Further Information. 4. For general information on financial sanctions please see FRAs Industry Guidance on targeted financial sanctions.

21%20FRA%20Financial%20Sanctions%20Guidance%20(Final).pdf. 5. Enquiries regarding this sanctions notice should be addressed to The Sanctions Coordinator Financial Reporting Authority P.O. Box 1054 Grand Cayman KY1-1102 Cayman Islands REGIME: Central

African Republic INDIVIDUAL 1. Names (Last): PIKALOV (1): KONSTANTIN (2): ALEKSENDROVITCH (3): n/a (4): n/a (5): n/a Name (non-Latin): n/a Title: n/a Position: Wagner Commander in Africa A.K.A: (1) KONSTANTIN (2) MAZAEV (3) MAZAY (4) KONSTANTIN PIKALOV (5) KOSTYA PIKALOV (6) UNCLE PIKALOV Date of Birth: 23/07/1968 Place of Birth: n/a Nationality: n/a Passport Number: n/a Passport Details: n/a Address: n/a Other Information (UK Sanctions List Ref): CAF0019 (UK Statement of Reasons): PIKALOV is an involved person under the Central African Republic (Sanctions) (EU Exit) Regulations 2020 as he is or has been involved in a relevant activity, namely the commission of violations of international humanitarian law in relation to deliberate targeting of civilians.. Listed On: 20/07/2023 Last Updated: 23/02/2024 Group ID: 16032 SIX, Cricket Square P.O. Box 10052 Grand Cayman KY1 1001, Cayman Islands REGIME: Democratic Republic of the Congo INDIVIDUAL 1. Names (Last): NGOMA (1): WILLY (2): n/a (3): n/a (4): n/a (5): n/a Name (non-Latin): n/a Title: n/a Position: (1) Major (2) Military spokesperson for M23 A.K.A: n/a Date of Birth: 00/00/1974 Place of Birth: Camp Kokolo, Kinshasa Nationality: Democratic Republic of the Congo Passport Number: n/a Passport Details: n/a Address: n/a Other Information (UK Sanctions List Ref): DRC0070 (UN Ref): CDi.044 Listed pursuant to paragraphs 7 (c), 7 (e) and 7 (h) of resolution 2293 (2016) as being political and military leaders of Congolese militias, including those receiving support

from outside the DRC, who impede the participation of their combatants in disarmament, demobilization and reintegration processes; planning, directing, committing acts in the DRC that constitute human rights violations or abuses or violations of international humanitarian law, as applicable, including those acts involving the targeting of civilians, including killing and maiming, rape and other sexual violence, abduction, forced displacement, and attacks on schools and hospitals; and acting on behalf of or at the direction of an entity owned or controlled by a designated individual or entity, as reaffirmed by paragraph 2 of resolution 2688 (2023). As a leader of M23 (CDe.006), WILLY NGOMA is involved in planning, promulgating and supporting the armed group's activities. Listed On: 21/02/2024 Last Updated: 23/02/2024 Group ID: 16382 REGIME: ISIL (Da'esh) and Al-Qaida INDIVIDUAL

1. Names (Last): AL-QADULI (1): 'ABD AL-RAHMAN (2): MUHAMMAD (3): MUSTAFA (4): n/a (5): n/a Name (non-Latin): n/a Title: n/a Position: n/a A.K.A: (1) AL-RAHMAN MUHAMMAD MUSTAFA SHAYKHLARI 'ABD (2) RAHMAN MUHAMMAD AL-BAYATI ABDUL (3) ALA ABU (4) HASAN ABU (5) IMAN ABU (6) MUHAMMAD ABU (7) ZAYNA ABU (8) ABU-SHUAYB (9) RA'AD AHMAD ALIAZRA (10) IMAN HAJJI (11) MUHAMMAD KHALIL MUSTAFA AL-BAYATI TAHIR (12) MUHAMMAD KHALIL MUSTAFA UMAR Date of Birth: (1) 00/00/1957, (2) 00/00/1959 Place of Birth: Mosul, Ninawa Province SIX, Cricket Square P.O. Box 10052 Grand Cayman KY1 1001, Cayman Islands Nationality: Iraq Passport Number: n/a Passport Details: n/a Address: n/a Other Information (UK Sanctions List Ref): AQD0086 (UN Ref): QDi.339 Senior Islamic State in Iraq and the Levant (ISIL), listed as Al-Qaida in Iraq (AQI) (QDe.115), official. Reportedly killed in Syria in 2016. Previously served as a representative of AQI to Al-Qaida (QDe.004) senior leadership in Pakistan. Review pursuant to Security Council resolution 2368 (2017) was concluded on 4 Dec. 2019. INTERPOL-UN Security Council Special Notice web link: Listed On: 09/10/2014 Last Updated: 23/02/2024 Group ID: 13136 REGIME: Russia INDIVIDUAL

1. Names (Last): BRODSKIY (1): ILYA (2): BORISOVICH (3): n/a (4): n/a (5): n/a Name (non-Latin): Title: n/a Position: (1) Former Member of the Supervisory Board of Sovcombank (2) Former First Deputy Chairman of the Management Board Director of Corporate Department of Sovcombank A.K.A: (1) ILYA BORISOVICH BRODSKI (2) ILYA BORISOVICH BRODSKY Date of Birth: 01/07/1972 Place of Birth: Moscow Nationality: (1) Cyprus (2) Russia Passport Number: K00227238 Passport Details: Cyprus Address: Flat 196, House 3, Akademika Korolyeva Street, Moscow, Russia. Other Information (UK Sanctions List Ref): RUS1580 (UK Statement of Reasons): Ilya Borisovich BRODSKIY (hereafter BRODSKIY) is an involved person under the Russia (Sanctions) (EU Exit) Regulations 2019 on the basis of the following grounds: 1) BRODSKIY has been involved in obtaining a benefit from or supporting the Government of Russia by working as a director or equivalent, through his roles as First Deputy Chairman of the Management Board Director of Corporate Department and Member of the Supervisory Board, of an entity, SOVCOMBANK, which is or has been carrying on business in a sector of strategic significance to the Government of Russia, namely the financial services sector; and 2) BRODSKIY has been involved in obtaining a benefit from or supporting the Government of Russia by working as a director or equivalent, through his roles as First Deputy Chairman of the Management Board Director of Corporate Department and Member of the Supervisory Board, of a Government of Russia-affiliated entity, SOVCOMBANK.. Listed On: 26/09/2022 Last Updated: 23/02/2024 Group ID: 15524 SIX, Cricket Square P.O. Box 10052 Grand Cayman KY1 1001, Cayman Islands