



Cayman Monetary Regulatory Authority International

At the forefront of financial regulation, the Cayman Monetary Regulatory Authority International (CMRAI) is dedicated to upholding the highest standards of financial oversight and compliance. Our mission is to safeguard the stability and integrity of the global financial system by ensuring that financial services operate within a framework of transparency, accountability, and excellence.

As a trusted partner to financial institutions worldwide, CMRAI provides rigorous supervision, innovative solutions, and strategic guidance to foster a secure and thriving financial environment. With decades of experience and a commitment to global standards, we stand as a pillar of trust and security in an ever-evolving financial landscape.

With a legacy of excellence in financial oversight, the Cayman Monetary Regulatory Authority International (CMRAI) is a beacon of trust in the international financial community. Our role extends beyond regulation; we are innovators, collaborators, and protectors of the global financial ecosystem. By fostering compliance, promoting best practices, and embracing technological advancements, CMRAI ensures that financial services remain resilient and adaptable in a dynamic global market.

Our comprehensive approach to regulation encompasses a deep understanding of financial risks and a proactive stance on emerging challenges. We are committed to empowering financial institutions with the tools and guidance necessary to navigate complex regulatory landscapes, thereby contributing to global economic stability and growth.

Cayman KY1 1001, Cayman Islands

21 September 2020 NOTICE RE: Financial

Sanctions 1. The Cayman Monetary Regulatory Authority International (CMRAI) hereby notifies you that it has received a new Notice from the Office of Financial Sanctions Implementation, HM Treasury (OFSI), which is attached as an Annex to this Notice. 2. What you must do: A. In the case of an addition or amendment of a person to the Consolidated List and asset freeze: i. Check whether you maintain any accounts or hold any funds or economic resources for the persons set out in the OFSI Notice; ii. Freeze any such accounts and other funds or economic resources. iii. Refrain from dealing with the funds or assets or making them available (directly or indirectly) to such persons unless licensed by the Governor. iv. Report any findings to the Financial Reporting Authority (FRA) at together with any additional information that would facilitate compliance with the relevant legislative requirements. v. Provide any information concerning the frozen assets of designated persons to the FRA at and submitting a compliance reporting form. Information reported to FRA may be passed to other regulatory authorities or law enforcement. B. In the case of the removal of a person from the Consolidated List and unfreezing of assets i. Check whether you have frozen assets of any person or entity removed from the Consolidated List and verify that the person is no longer subject to an asset freeze. ii. Remove the person from your institution s list of persons or entities subject to financial sanction. iii. Un-freeze the assets of the person and where necessary re-activate all relevant accounts. iv. Send advice to the person that the assets are no longer subject to an asset freeze. SIX, Cricket Square P.O. Box 10052 Grand Cayman KY1 1001, Cayman Islands v. Advise the FRA at of the actions taken. 3. Failure to comply with financial sanctions legislation or to seek to circumvent its provisions is a criminal offence. Further Information. 4. For general information on financial sanctions please see FRAs Industry Guidance on targeted financial sanctions.

21%20FRA%20Financial%20Sanctions%20Guidance%20(Final).pdf. 5. Enquiries regarding

this sanctions notice should be addressed to The Sanctions Coordinator Financial Reporting Authority P.O. Box 1054 Grand Cayman KY1-1102 Cayman Islands REGIME: Libya

INDIVIDUAL 1. Names (Last): Al-Werfalli (1): Mahmoud (2): Mustafa (3): Busayf (4): n/a (5): n/a Title: n/a Position: Commander (lieutenant) of the Benghazi-based al Saiqa Brigade A.K.A: Al-Warfalli Mahmud Date of Birth: 00/00/1978 Place of Birth: Werfalla Tribe, West of Libya or Elrseefa (Bani Walid) Nationality: n/a Passport Details: n/a Address: n/a Other Information EU listing only. Gender: male. Al-Werfalli is responsible for and has directly or indirectly engaged in serious human rights abuses including killings and executions. Has been linked to the killing of thirty-three persons between June 2016 and July 2017 in several incidents as well as a mass execution of ten persons on 24 January 2018. Listed On: 21/09/2020 Last Updated: 21/09/2020 Group ID: 13913 2. Names (Last): Diab (1):

Moussa (2): n/a (3): n/a (4): n/a (5): n/a Title: n/a Position: n/a A.K.A: Diab Mousa Date of Birth: n/a Place of Birth: n/a Nationality: n/a Passport Details: n/a Address: n/a Other Information EU listing only. Gender: male. Diab is responsible for and has directly

SIX, Cricket Square P.O. Box 10052 Grand Cayman KY1 1001, Cayman Islands engaged in serious human rights abuses including human trafficking and the kidnapping, raping and killing of migrants and refugees. He held migrants and refugees in captivity in an illegal detention camp near Bani Walid, where they were treated in an inhuman and degrading manner. Several migrants and refugees were killed when they tried to escape from the detention camp. Listed On: 21/09/2020 Last Updated: 21/09/2020 Group ID: 13914

ENTITY 1. Names (Last): Sigma Airlines (1): n/a (2): n/a (3): n/a (4): n/a (5): n/a A.K.A: (1)

Sigma Aviation, (2) Air Sigma Other Information EU listing only. Sigma Airlines is a commercial cargo air company which operates aircrafts found to have violated the arms embargo in Libya. The UN has identified Sigma Airlines as one of the commercial air cargo providers operating in non-compliance with the UN embargo on the transfer of military material to Libya. Telephone: . Website: Registered under name Kenesbayev Umirbek Zharmenovich. Listed On: 21/09/2020 Last Updated: 21/09/2020 Group ID: 13915 2. Names (Last): Avrasya Shipping (1): n/a (2): n/a (3): n/a (4): n/a (5): n/a A.K.A: n/a Other Information EU listing only. Avrasya Shipping is a maritime company which operates a vessel called Cirkin found to have violated the arms embargo in Libya. In particular, the Cirkin has been linked to transports of military material to Libya in May and June 2020. Telephone: . : . Website: Listed On: 21/09/2020 Last Updated: 21/09/2020 Group ID: 13916 3. Names (Last): Med Wave Shipping (1): n/a (2): n/a (3): n/a (4): n/a (5): n/a A.K.A: n/a Other Information EU listing only. Med Wave Shipping is a maritime company which operates a vessel called Bana found to have violated the arms embargo in Libya. In particular, the Bana has been linked to transports of military material to Libya in January 2020. Telephone: , , and . : . Listed On: 21/09/2020 Last Updated: 21/09/2020 Group ID: 13917