



Cayman Monetary Regulatory Authority International

At the forefront of financial regulation, the Cayman Monetary Regulatory Authority International (CMRAI) is dedicated to upholding the highest standards of financial oversight and compliance. Our mission is to safeguard the stability and integrity of the global financial system by ensuring that financial services operate within a framework of transparency, accountability, and excellence.

As a trusted partner to financial institutions worldwide, CMRAI provides rigorous supervision, innovative solutions, and strategic guidance to foster a secure and thriving financial environment. With decades of experience and a commitment to global standards, we stand as a pillar of trust and security in an ever-evolving financial landscape.

With a legacy of excellence in financial oversight, the Cayman Monetary Regulatory Authority International (CMRAI) is a beacon of trust in the international financial community. Our role extends beyond regulation; we are innovators, collaborators, and protectors of the global financial ecosystem. By fostering compliance, promoting best practices, and embracing technological advancements, CMRAI ensures that financial services remain resilient and adaptable in a dynamic global market.

Our comprehensive approach to regulation encompasses a deep understanding of financial risks and a proactive stance on emerging challenges. We are committed to empowering financial institutions with the tools and guidance necessary to navigate complex regulatory landscapes, thereby contributing to global economic stability and growth.

Cayman KY1 1001, Cayman Islands 1 February 2024 NOTICE RE: Financial Sanctions

1. The Cayman Monetary Regulatory Authority International (CMRAI) hereby notifies you that it has received a new Notice from the Office of Financial Sanctions Implementation, HM Treasury (OFSI), which is attached as an Annex to this Notice. 2. What you must do: A. In the case of an addition or amendment of a person to the Consolidated List and asset freeze: i. Check whether you maintain any accounts or hold any funds or economic resources for the persons set out in the OFSI Notice; ii. Freeze any such accounts and other funds or economic resources. iii. Refrain from dealing with the funds or assets or making them available (directly or indirectly) to such persons unless licensed by the Governor. iv. Report any findings to the Financial Reporting Authority (FRA) at together with any additional information that would facilitate compliance with the relevant legislative requirements. v. Provide any information concerning the frozen assets of designated persons to the FRA at and submitting a compliance reporting form. Information reported to FRA may be passed to other regulatory authorities or law enforcement. B. In the case of the removal of a person from the Consolidated List and unfreezing of assets i. Check whether you have frozen assets of any person or entity removed from the Consolidated List and verify that the person is no longer subject to an asset freeze. ii. Remove the person from your institution's list of persons or entities subject to financial sanction. iii. Un-freeze the assets of the person and where necessary re-activate all relevant accounts. iv. Send advice to the person that the assets are no longer subject to an asset freeze. SIX, Cricket Square P.O. Box 10052 Grand Cayman KY1 1001, Cayman Islands v. Advise the FRA at of the actions taken. 3. Failure to comply with financial sanctions legislation or to seek to circumvent its provisions is a criminal offence. Further Information. 4. For general information on financial sanctions please see FRAs Industry Guidance on targeted financial sanctions.

21%20FRA%20Financial%20Sanctions%20Guidance%20(Final).pdf. 5. Enquiries regarding this sanctions notice should be addressed to The Sanctions Coordinator Financial Reporting Authority P.O. Box 1054 Grand Cayman KY1-1102 Cayman Islands REGIME: Myanmar ENTITY 1. Name: 101ST LIGHT INFANTRY DIVISION A.K.A: (1) 101 LID (2) 101 LIGHT INFANTRY DIVISION (3) LID 101 (4) LIGHT INFANTRY DIVISION 101 Other Information (UK Sanctions List Ref): MYA0076 (UK Statement of Reasons): The 101st Light Infantry Division (LID 101) is an involved person under The Myanmar (Sanctions) Regulations 2021 because LID 101 is or has been involved in the commission of serious human rights violations in Myanmar. Specifically, being responsible for activity which violates the right of life of persons in Myanmar; the right to liberty and security of persons in Myanmar, including freedom from arbitrary arrest, detention or enforced disappearance; and the right of persons not to be subject to torture or cruel, inhuman or degrading treatment or punishment in Myanmar, including in the context of violence against persons on the basis of their political opinion, religious belief or ethnicity, and of rape and other forms of sexual and gender-based violence.. Listed On: 01/02/2024 Last Updated: 01/02/2024 Group ID: 16358 2. Name: 77TH LIGHT INFANTRY DIVISION A.K.A: (1) 77 LID (2) 77 LIGHT INFANTRY DIVISION (3) LID 77 (4) LIGHT INFANTRY DIVISION 77 Other Information (UK Sanctions List Ref): MYA0077 (UK Statement of Reasons): The 77th Light Infantry Division (LID 77) is an involved person under The Myanmar (Sanctions) Regulations 2021 because LID 77 is or has been involved in the commission of serious human rights violations in Myanmar. Specifically in relation to the SIX, Cricket Square P.O. Box 10052 Grand Cayman KY1 1001, Cayman Islands right to life and right of persons not to be subject to torture or cruel, inhuman or

degrading treatment or punishment in Myanmar on the basis of their political opinion. Namely the implementation of a planned, systematic crackdown involving excessive use of lethal force and killing of protestors, and attacking freedom of speech, through internet shutdowns during protests in Bago.. Listed On: 01/02/2024 Last Updated: 01/02/2024 Group ID: 16359 3. Name: MINING ENTERPRISE 1 A.K.A: (1) ME1 (2) MINING ENTERPRISE ME1 (3) MINING ENTERPRISE NO 1 (4) MINING ENTERPRISE NO. 1 (5) MINING ENTERPRISE NUMBER ONE (6) MYANMA MINING ENTERPRISE NUMBER 1 (7) MYANMAR MINING ENTERPRISE NUMBER 1 (8) NO 1 MINING ENTERPRISE (9) NO. 1 MINING ENTERPRISE Other Information (UK Sanctions List Ref): MYA0078 (UK Statement of Reasons): Mining Enterprise 1 is, and has been, involved in the repression of the civilian population in Myanmar, by being affiliated to the Myanmar Security Forces as an MSF-affiliated business, and by providing financial services, or making available funds or economic resources, that could contribute to such an activity.. Listed On: 01/02/2024 Last Updated: 01/02/2024 Group ID: 16360 4. Name: MINING ENTERPRISE 2 A.K.A: (1) ME2 (2) MINING ENTERPRISE ME2 (3) MINING ENTERPRISE NO 2 (4) MINING ENTERPRISE NO. 2 (5) MINING ENTERPRISE NUMBER TWO (6) MYANMA MINING ENTERPRISE NUMBER 2 (7) MYANMAR MINING ENTERPRISE NUMBER 2 (8) NO 2 MINING ENTERPRISE (9) NO. 2 MINING ENTERPRISE Other Information (UK Sanctions List Ref): MYA0079 (UK Statement of Reasons): Mining Enterprise 2 is, and has been, involved in the repression of the civilian population in Myanmar, by being affiliated to the Myanmar Security Forces, as an MSF-affiliated business, and by providing financial services, or making available funds or economic resources, that could contribute to such an activity.. Listed On: 01/02/2024 Last Updated: 01/02/2024 Group ID: 16361