



Cayman Monetary Regulatory Authority International

At the forefront of financial regulation, the Cayman Monetary Regulatory Authority International (CMRAI) is dedicated to upholding the highest standards of financial oversight and compliance. Our mission is to safeguard the stability and integrity of the global financial system by ensuring that financial services operate within a framework of transparency, accountability, and excellence.

As a trusted partner to financial institutions worldwide, CMRAI provides rigorous supervision, innovative solutions, and strategic guidance to foster a secure and thriving financial environment. With decades of experience and a commitment to global standards, we stand as a pillar of trust and security in an ever-evolving financial landscape.

With a legacy of excellence in financial oversight, the Cayman Monetary Regulatory Authority International (CMRAI) is a beacon of trust in the international financial community. Our role extends beyond regulation; we are innovators, collaborators, and protectors of the global financial ecosystem. By fostering compliance, promoting best practices, and embracing technological advancements, CMRAI ensures that financial services remain resilient and adaptable in a dynamic global market.

Our comprehensive approach to regulation encompasses a deep understanding of financial risks and a proactive stance on emerging challenges. We are committed to empowering financial institutions with the tools and guidance necessary to navigate complex regulatory landscapes, thereby contributing to global economic stability and growth.

Statement of Guidance Reorganisation of Capital Securities Investment Business 1.
Statement of Objectives at the Authority's information about licensees is up to date. 2 part
of the revise licensees. It is necessary therefore that, in the event of changes or proposed
changes to information submitted to the Authority, the y or seek its prior consent in
order for the Authority to 3es and Subsidiaries 3.1. Establishment and acquisition of
branches and subsidiaries der should obtain the consent in writing of the Authority
before in the Cayman Islands or elsewhere. the consent in writing of the
Authority before acquirin shares of another company. 3.3. Disposal or dissolution of a
subsidiary A firm must notify the Authority in writing within seven days of the disposal
or dissolution of a subsidiary specifying : a) the subsidiary's name; and b) its principal
business. f Structure and Variation o To ensure th . Introduction The application
information submitted to the Authority forms a vital Authority's ability to supe licensee
notify the Authorit reassess the entity's position. . Branch A licence hol establishing or
acquiring a branch or subsidiary 3.2. Acquisitions A licence holder should obtain g
10% or more of the voting

Policy and Research Division Page 2 of 2 4 rity before to merge the
whole or any part of the ence-holder or a third party. 5 ld obtain the consent in writing
of the Authority before increasing, reducing or changing the nature of its issued capital, or
the rights and obligations of shareholders.
_____ . Sale and Merger of Licensee or
third parties A licence-holder should obtain the consent in writing of the Autho entering
into any agreement to sell or undertaking of the lic . Variation of Capital A
licence-holder shou