



# Cayman Monetary Regulatory Authority International

At the forefront of financial regulation, the Cayman Monetary Regulatory Authority International (CMRAI) is dedicated to upholding the highest standards of financial oversight and compliance. Our mission is to safeguard the stability and integrity of the global financial system by ensuring that financial services operate within a framework of transparency, accountability, and excellence.

As a trusted partner to financial institutions worldwide, CMRAI provides rigorous supervision, innovative solutions, and strategic guidance to foster a secure and thriving financial environment. With decades of experience and a commitment to global standards, we stand as a pillar of trust and security in an ever-evolving financial landscape.

With a legacy of excellence in financial oversight, the Cayman Monetary Regulatory Authority International (CMRAI) is a beacon of trust in the international financial community. Our role extends beyond regulation; we are innovators, collaborators, and protectors of the global financial ecosystem. By fostering compliance, promoting best practices, and embracing technological advancements, CMRAI ensures that financial services remain resilient and adaptable in a dynamic global market.

Our comprehensive approach to regulation encompasses a deep understanding of financial risks and a proactive stance on emerging challenges. We are committed to empowering financial institutions with the tools and guidance necessary to navigate complex regulatory landscapes, thereby contributing to global economic stability and growth.

Licence #	Licensee Name	Licence Date	Broker/Dealer	Securities	Manager	Securities
	Advisor Securities Arranger Market Maker	1307703	AIF Securities (Cayman) Ltd.	12-Oct-2016	X 25008	Alexandria Global Investment Management Ltd.
	584080	Asiya Asset Management (Cayman) Ltd.	06-Jul-2012	XX 25002	BIAS (Cayman) Limited	04-Oct-2006
	896523	Blacktower Financial Management (International) Limited	24-Jan-2014	XX 25015	Butterfield Bank (Cayman) Limited	27-Apr-2004
	25010	Cayman National Securities Ltd.	11-Apr-2005	XXX 25001	CIBC Bank and Trust Company (Cayman) Limited	02-Mar-2004
	25031	CITCO Bank and Trust Company Limited	20-Jun-2005	X 25018	Delta Bank and Trust Company, Grand Cayman	06-Apr-2004
	25035	DEUTSCHE BANK (CAYMAN) LIMITED	30-Apr-2007	X 25034	EFG Wealth Management (Cayman) Ltd.	08-Aug-2007
	200012	FalconView Securities Ltd.	12-Jan-2010	XXX 25013	FirstCaribbean International Bank (Cayman) Limited	04-Apr-2005
	25022	Five Continents Financial Limited	27-Apr-2004	X 25033	GAIN Global Markets, Inc.	01-Jan-2007
	1231532	Hypa Asset Management Limited	24-Jun-2015	XX 25028	International Financial Planning (Cayman) Limited	04-Jan-2005
	574280	ITA Global Securities Ltd.	29-Mar-2011	XXXX 1165861	Merrill Lynch Bank and Trust Company (Cayman) Limited	01-Dec-2014
	25017	Millennium bcp Bank & Trust	10-Aug-2004	XXX 25039	NCB Capital Markets (Cayman) Ltd.	06-May-2008
	647732	ONETRADEX LTD.	04-Dec-2013	X 586250	Permanent Value Asset Management Inc.	21-Feb-2012
	25011	RBC Dominion Securities Global Limited	01-Mar-2005	XXX 1125062	RiverCrossing Investment Manager Limited	02-Dec-2014
	1147635	Sackville Bank and Trust Company Limited	07-Mar-2016	XX 25023	Scotiabank & Trust (Cayman) Ltd.	15-Feb-2005
	25005	The Seven Asset Management (Asia) Limited	22-Jun-2004	XX 585163	Tradeview Ltd.	04-Apr-2012
	25029	Unibanco Cayman Bank Ltd.	30-Nov-2004	XXX 25037	VBT Bank & Trust, Ltd.	17-May-2007
	25042	WGF Asset Management Limited	04-May-2012	X Total Securities Investment Business Licensees: 33	List of Securities Investment Business Licensees Cayman Monetary Regulatory Authority International P.O. Box 10052 80e Sheddon Road, Elizabethan Square Grand Cayman, KY1-1001 CAYMAN ISLANDS Cayman Monetary Regulatory Authority International For Period Ended 30 June 2017	