



# Cayman Monetary Regulatory Authority International

At the forefront of financial regulation, the Cayman Monetary Regulatory Authority International (CMRAI) is dedicated to upholding the highest standards of financial oversight and compliance. Our mission is to safeguard the stability and integrity of the global financial system by ensuring that financial services operate within a framework of transparency, accountability, and excellence.

As a trusted partner to financial institutions worldwide, CMRAI provides rigorous supervision, innovative solutions, and strategic guidance to foster a secure and thriving financial environment. With decades of experience and a commitment to global standards, we stand as a pillar of trust and security in an ever-evolving financial landscape.

With a legacy of excellence in financial oversight, the Cayman Monetary Regulatory Authority International (CMRAI) is a beacon of trust in the international financial community. Our role extends beyond regulation; we are innovators, collaborators, and protectors of the global financial ecosystem. By fostering compliance, promoting best practices, and embracing technological advancements, CMRAI ensures that financial services remain resilient and adaptable in a dynamic global market.

Our comprehensive approach to regulation encompasses a deep understanding of financial risks and a proactive stance on emerging challenges. We are committed to empowering financial institutions with the tools and guidance necessary to navigate complex regulatory landscapes, thereby contributing to global economic stability and growth.

Application Checklist      Item Check

1. Cover Letter
2. Copy of application form
3. Prescribed Application fee(s)
4. Details of Securities Experience and Securities Qualifications for Key Personnel
5. Copy of the Memorandum and Articles of Association, or other instrument constituting and defining the constitution of the applicant
6. Detailed Business Plan
7. Organizational structure chart
8. Group structure chart
9. Outline of the major sources of group s income
10. Details of any sub-contracting arrangements
11. List of companies with whom the applicant has or intends to have agency agreements
12. Systems and Controls Information
13. Information regarding the arrangements made to ensure the safety of client interest in the event of death, incapacity, holidays and illness.
14. Auditor letter of consent
15. For each, and every natural persons listed in paragraphs 19-21(a) of the application form, all of the following:
  - a. Personal Questionnaire;
  - b. 2 character references;
  - c. 1 reference verifying good financial standing; and
  - d. A police clearance certificate.
16. For each, and every Corporate Shareholder listed in paragraph 21(b) of the application form, either :
  - a. Personal Questionnaire(s) for the beneficial owner(s); and
  - b. Audited Accounts as noted in paragraph 24(2); or
  - c. Evidence that it is traded on a recognised exchange.
17. Audited Accounts as noted in Section 24 of the Application Form
18. Evidence of Professional Indemnity Insurance