Cayman Monetary Regulatory Authority International

At the forefront of financial regulation, the Cayman Monetary Regulatory Authority International (CMRAI) is dedicated to upholding the highest standards of financial oversight and compliance. Our mission is to safeguard the stability and integrity of the global financial system by ensuring that financial services operate within a framework of transparency, accountability, and excellence.

As a trusted partner to financial institutions worldwide, CMRAI provides rigorous supervision, innovative solutions, and strategic guidance to foster a secure and thriving financial environment. With decades of experience and a commitment to global standards, we stand as a pillar of trust and security in an ever-evolving financial landscape.

With a legacy of excellence in financial oversight, the Cayman Monetary Regulatory Authority International (CMRAI) is a beacon of trust in the international financial community. Our role extends beyond regulation; we are innovators, collaborators, and protectors of the global financial ecosystem. By fostering compliance, promoting best practices, and embracing technological advancements, CMRAI ensures that financial services remain resilient and adaptable in a dynamic global market.

Our comprehensive approach to regulation encompasses a deep understanding of financial risks and a proactive stance on emerging challenges. We are committed to empowering financial institutions with the tools and guidance necessary to navigate complex regulatory landscapes, thereby contributing to global economic stability and growth.

REEFS Form Completion Guide Application for Private Fund (Ref: APP-101-77) Document version: 1.0 Revision History: Effective Date Version Number Revision Description 17 Apr 2020 1.0 Initial release of documentation Contents   1 INTRODUCTION Regulatory Enhanced Electronic Forms Submission (REEFS), is an online portal for electronic submission of required financial services information to the Cayman Monetary Regulatory Authority International (the Authority) as well as providing payments information where applicable. 2 FORM INFORMATION Form APP-101-77 is to be used when applying as a Fund under section 5(1)(b) of the Private Funds Law, 2020. The to the left-hand side of the page, allow for multiple entries, when more than one is applicable for each category, throughout this form 3 ACCESSING AND SUBMITTING THE FORM 3.1 UNDERSTANDING REEFS ROLES AND WORKFLOWS In REEFS, roles are required to be assigned to allow users to perform certain tasks (assigned in the Workflow). These roles give the users permission to prepare, edit, approve, review and submit etc. based on Workflows. Please use the online instructions for guidance on how to create User accounts, Assign Roles and details of the Workflows. 3.2 ROLES REQUIRED FOR (APP-101-77) The following Roles are required for access to this form: New Application Preparer role New Application role (this role inherits the above role) 3.3 WORKFLOW USED FOR (APP-101-77) The following Workflow is associated with APP-101-77. It outlines the steps that must be followed on both the Industry-side and the Authority-side for preparation, review, submission etc of the form. All steps in the workflow must be complete (validated multiple times) even if one user has inherited roles and can perform all steps in the workflow. Steps taken on Industry-side: 1. The New Application Preparer prepares the data a. Once the data has been entered the New Application Preparer can Validate the data, and then either Save or delete all the data i. If Save is selected, the status of the of the application changes to Ready to Submit ii. The application is ready for review by the New Application role 2. Once the New Application role has reviewed the data they can then Validate the data once again a. Once the data has been validated the options to either Submit or Reject becomes available i. If Reject is selected, the data is then returned to the New Application Preparer for further review ii. If the Submit option is selected, it then goes to the Payment processer (if it is applicable) 1. The status of the application then changes to Submitted Version: 1.0 APP-101-77 Application for Private Fund Page 6 of 19 Steps taken on Authority-side: 1. Once the application has been Submitted to the Authority, an Analyst can then Claim the submission to begin the review process. a. Once the application has been Claimed, the status of the application changes to Application Under Review (Level 1) (AUR_L1) i. The application is then reviewed and validated by the Analyst 1. If the application is Rejected by the Analyst, it is returned to industry to be resubmitted 2. If the application is accepted, it is escalated to the second level of review by a Senior Analyst, and the application status changes to Application Under Review (Level 2) (AUR_L2) i. If the application is rejected by the Senior Analyst, it is returned to the Analyst for further review ii. If the application is accepted it is escalated up to a Chief Analyst for final approval, and the application status changes to Waiting Final Approval 1. If the application is rejected by the Chief Analyst, it is returned to the Senior Analyst for further review 2. If the application is approved the status of the application changes to Approved 3. If the application is not approved, the status of the application changes to Refused 3.4 SUBMITTING / RESUBMITTING Pending 3.5 APPLICABLE FEES The following fees are associated with this form, which can be paid via inline escrow payment: 1. KYD 300.00 Filing fee From August* the following will be payable: 2. KYD 3,500.00 annual registration Fee 3. KYD 250.00 additional amount in respect of each of the private fund s alternative investment vehicles up to a maximum of twenty-five investment vehicles *PRIVATE FUNDS (FEES) REGULATIONS, 2020 (SL 16 of 2020) Supplement No. 4 published with Legislation Gazette No. 12 dated 20th February, 2020. Version: 1.0 APP-101-77 Application for Private Fund Page 7 of 19 4 COMPLETION INSTRUCTIONS ID Label Instructions Validation Rule Schedule A Fund Details A01 Name of Fund Insert the exact name of the fund as it appears within the governing documents (e.g. Certificate of Incorporation, Certificate of Registration, Offering Document, Summary of Terms, Marketing Material, etc.) including the appropriate uppercase/lowercase and punctuation marks. Mandatory field A02 Industry Reference This reference number is for the applicant's use. Optional field A03 Requested date of Registration Select date you wish the Authority to recognise your registration. Mandatory field A04 Date of Formation / incorporation Insert the formation date as it appears on the governing documents of the fund. Mandatory field A05 Registrar Registration (CORIS) Number Add the entire alpha-numerical value listed on the Certificate of Incorporation including all letters, numbers and punctuation marks. This value is typically located within the top left corner of the Certificate of Incorporation/Registration from the Registrar. Optional field A06 Legal Entity Identifier (LEI) If available, provide Legal Entity Identifier (LEI). Optional field A07 Legal Structure Select the appropriate legal structure from the drop-down list which corresponds with the governing documents of the fund. Once the appropriate legal structure has been selected (i.e. Corporate Legal Structure, Trust Structure, or Partnership), the applicable questions of Section C Structure, becomes available Mandatory field A08 Attach Structure Chart Select browse and add/open the corresponding document. Mandatory field A09 Attach Certificate of Incorporation / Registration Select browse and add/open the corresponding document. If there was a name change, also include this document within the attachment. Mandatory field A10 Attach Trust Deed / Declaration of Partnership / Memorandum and Articles of Association Select browse and add/open the corresponding document. Mandatory field A11

Attach Offering Document / Summary of Terms / Marketing Material Select browse and add/open the corresponding document. Offering Document refers to the Confidential Private Placement Memorandum, Offering Memorandum, Summary of Terms, Marketing Material, etc. including any Supplemental documents. Mandatory field A12 Base Currency of Offering Insert the currency that is used to quote capital commitments/calls/subscriptions. Mandatory field A13 Primary Investment Strategy Select the strategy from the drop-down list which matches the primary investment strategy of the fund. If there is no strategy that matches the primary strategy of the fund within the drop- down list, then scroll down to the bottom of the drop-down list and select the option for "Other...". Once this option has been selected, an additional text field will populate where the details of the strategy must be entered. Mandatory field A14 Primary Investment Strategy - Other Insert details of the primary investment strategy. Please do not insert a comment to refer to specific pages of the Offering Document, but rather insert a brief summary or copy text from the Offering Document which explains the actual primary investment strategy. Mandatory field if A14 is Other A15 Secondary Investment Strategy If the fund has a secondary investment strategy, select it from the drop-down list. If there is no strategy that matches the secondary investment strategy of the fund within the drop- down list, then scroll down to the bottom of the drop-down list Optional field and select the option for "Other...". Once this option has been selected, an additional text field will populate where the details of the strategy should be entered. A16 Secondary Investment Strategy - Other Insert details of the secondary investment strategy. Please do not insert a comment to refer to specific pages of the Offering Document, but rather insert a brief summary or copy text from the Offering Document which explains the actual secondary investment strategy. Mandatory field if A15 is Other A17 Investment restrictions Category Select the restrictions category from the drop-down list that best describes the restrictions imposed on the investment activities of the fund, i.e. restriction by geographical location, type of industry, specific instruments or leverage limitations. If there is no category that matches the investment restrictions of the fund within the drop-down list, then scroll down to the bottom of the drop-down list and select the option for "Other". Optional field A18 Investment restrictions Details Insert details of the investment restrictions selected in the previous field. Please do not insert a comment to refer to specific pages of the Offering Document, but rather insert a brief summary or copy text from the Offering Document which explains the actual investment restrictions. Optional field A19 Risk factors Insert details of the risk factors. Please do not insert a comment to refer to specific pages of the Offering Document, but rather insert a brief summary or copy text from the Offering Document which explains the actual risk factors. Optional field A20 Minimum initial investment for investor in base currency Insert the minimum capital commitment/contribution amount in the base currency which may be accepted by the fund. Mandatory field A21 Initial term/duration of fund (in years) Insert the initial expected term/duration/life time of the fund (in years). Mandatory field A22 Maximum term/duration of fund (in years) Insert the maximum term/duration/life time of the fund (in years). In the event that the fund has extension options, include the option that extends the life of the fund to the maximum period. Mandatory field A23 Actual or expected size of investor base Select the actual or expected size of the investor base (number of investors) from the options available within the drop-down list. Mandatory field A24 Has Nominee Investors If the fund has Nominee Investors, select "Yes"; otherwise, select "No". A nominee is a person or entity into whose name investments or other properties are transferred to/held on behalf of the investor to facilitate transactions while leaving the investor as the actual beneficial owner. Mandatory field A25 Frequency of Valuations Select the frequency of valuations from the options available within the drop-down list. Mandatory field A26 FYE Day Select the day of the financial yearend from the options available within the drop-down list. Mandatory field A27 FYE Month Select the month of the financial yearend from the options available within the drop-down list. Mandatory field A28 Accounting Principles Select the accounting principles from the options available within the drop-down list. Mandatory field A29 Auditing Principles Select the auditing standards from the options available within the drop-down list. Mandatory field A30 Single fund / Multi Fund Select Multi-Fund if the fund has any Alternative Investment Vehicles ("AIV(s)") (as defined by the Private Funds Law), Co- Investment Vehicles, Special Purpose Vehicles ("SPV(s)"), Blockers or Holding Companies within its ownership structure. If the fund structure is a Multi Fund, then the completion of the below information for all the investment vehicle types are mandatory. Mandatory field A31 AIV / Co-Investment Vehicles / SPVs / Blockers / Holding Companies: Select the relevant drop-down: AIV/ Co-Investment Vehicles / SPVs / Blockers etc. Mandatory field if A30 is Multi Fund A31i Investment Vehicle Type Select the investment vehicle type from the options available within the drop-down list. Mandatory field if A30 is Multi Fund Version: 1.0 APP-101-77 Application for Private Fund Page 9 of 19 A31ii Name Insert the exact name of the entity as it appears on the governing documents (e.g. Offering Document, Summary of Terms, etc.) including the appropriate uppercase/lowercase and punctuation marks. Mandatory field if A30 is Multi Fund A31iii Country of Establishment Select the country of establishment/incorporation as it appears on the governing documents of the fund. Mandatory field if A30 is Multi Fund A31iv Details same as above private fund? If all the details are the same as for the fund above, select "Yes"; otherwise, select "No". If "No" was selected, then the completion of the remainder of the information are mandatory. Mandatory field if A30 is Multi Fund A31v Base Currency of Offering Insert the currency that is used to quote capital commitments/contributions. Mandatory field if A31iv is No A31vi Initial term/duration of fund (in years) Insert the initial expected term/duration/life time of the fund (in years). Mandatory field if A31iv is No A31vii Maximum term/duration of fund (in years) Insert the maximum term/duration/life time of the fund (in years). Mandatory field if A31iv is No A31viii Primary Investment Strategy Select the strategy from the drop-down list which matches the primary investment strategy of the fund. If there is no strategy that matches the primary strategy of the fund within the drop- down list, then scroll down to the bottom of the drop-down list and select the option for "Other...". Once this option has been selected, an additional text field will populate where the details of the strategy must be entered. Mandatory field if A31iv is No A31ix Details Insert details of the primary investment strategy. Please do not insert a comment to refer to specific pages of the Offering Document, but rather insert a brief summary or copy text from the Offering Document which explains the actual primary investment strategy. Optional field A31x Secondary Investment Strategy If the fund has a secondary investment strategy, select it from the drop-down list. If there is no strategy that matches the secondary investment strategy of the fund within the drop- down list, then scroll down to the bottom of the drop-down list and select the option for "Other...". Once this option has been selected, an additional text field will populate where the details of the strategy should be entered. Mandatory field A31xi Details Insert details of the secondary investment strategy. Please do not insert a comment to refer to specific pages of the Offering Document, but rather insert a brief summary or copy text from the Offering Document which explains the actual secondary investment strategy. Mandatory field if A31x is Other A31xii Investment restrictions Category Select the restrictions category from the drop-down list that best describes the restrictions imposed on the investment activities of the fund, i.e. restriction by geographical location, type of industry, specific instruments or leverage limitations. If there is no category that matches the investment restrictions of the fund within the drop-down list, then scroll down to the bottom of the drop-down list and select the option for "Other". Optional field A31xiii Investment restrictions Details Insert details of the investment restrictions selected in the previous field. Please do not insert a comment to refer to specific pages of the Offering Document, but rather insert a brief summary or copy text from the Offering Document which explains the actual investment restrictions. Mandatory field A31xiv Risk factors Insert details of the risk factors. Please do not insert a comment to refer to specific pages of the Offering Document, but rather insert a brief summary or copy text from the Offering Document which explains the actual risk factors. Mandatory field A31xv Minimum initial investment for investor in base currency Insert the minimum capital commitment/subscription amount in the base currency which may be accepted by the fund. Mandatory field A31xvi Actual or expected size of investor Base Select the actual or expected size of the investor base (number of investors) from the options available within the drop-down list. Mandatory field A31xvii Frequency of Valuations Select the frequency of valuations from the options available within the drop-down list. Mandatory field A31xviii FYE Month Select the month of the financial yearend from the options available within the drop-down list. Mandatory field Version: 1.0 APP-101-77 Application for Private Fund Page 10 of 19 A31xix FYE Day Select the day of the financial yearend from the options available within the drop-down list. Mandatory field A31xx Accounting Principles Select the accounting principles from the options available within the drop-down list. Mandatory field A31xxi Auditing Principles Select the auditing standards from the options available within the drop-down list. Mandatory field Schedule B Service Providers Registered Office B01 Name of Registered Office Select the appointed Registered Office from the options available within the drop-down list. Mandatory field B02 Ongoing queries - same as Registered Office? If the service provider entered in the Registered Office field will be acting as the Ongoing Queries relationship, select "Yes"; otherwise, select "No". Mandatory field B03 If no, provide Name of Entity responsible for Ongoing Queries Once "No" has been selected in the previous field, all the remaining fields for the Ongoing Queries relationship are required to be completed. Mandatory field if B02 is No B03a Building, Floor, Suite Complete as appropriate. Optional field B03b Street Name and Number Complete as appropriate. Mandatory field B03c P.O. Box Complete as appropriate. Optional field B03d City Complete as appropriate. Mandatory field if B02 is No B03e Province / State Complete as appropriate. Mandatory field if B02 is No B03f ZIP / Postal Code Complete as appropriate. Mandatory field if B02 is No B03g Country Select the country from the options available within the dropdown list from which the service provider operates. Mandatory field if B02 is No B03h Telephone Number Complete as appropriate. Mandatory field if B02 is No B03i address Complete as appropriate. Mandatory field if B02 is No Fee Payments B04 Fee Payments - Same as Registered Office? If the service provider entered in the Registered Office field will be acting as the Fee Payments relationship, select "Yes"; otherwise, select "No". Mandatory field B05 If no, provide Name of Entity responsible for Fee Payments Once "No" has been selected in the previous field, all the remaining fields for the Fee Payments relationship are required to be completed. Mandatory field if B04 is No B05a Building, Floor, Suite Complete as appropriate. Mandatory field B05b Street Name and Number Complete as appropriate. Optional field

B05c P.O. Box Complete as appropriate. Optional field B05d City Complete as appropriate. Mandatory field if B04 is No B05e Province / State Complete as appropriate. Mandatory field if B04 is No B05f ZIP / Postal Code Complete as appropriate. Mandatory field if B04 is No B05g Country Select the country from the options available within the dropdown list from which the service provider operates. Mandatory field if B04 is No B05h Telephone Number Complete as appropriate. Mandatory field if B04 is No B05i address Complete as appropriate. Mandatory field if B04 is No Distributor B06 Name of Distributor (if applicable) Enter the name of the appointed Distributor (if applicable). Optional field Version: 1.0 APP-101-77 Application for Private Fund Page 11 of 19 Investment Manager B07 Is the investment manager independent (i.e. outside the group of entities or common shareholder group?) If the Investment Manager is independent, select "Yes"; otherwise, select "No". The Investment Manager is seen to be independent if it is does not have a control relationship with the fund s directors/operators. The Investment Manager is also outside the group/common shareholder group if it is not under the ownership of or common control of the fund. Mandatory field B08 Country of Investment Manager Select the country of establishment/incorporation as it appears on the governing documents for the Investment Manager. Mandatory field B08a If in Cayman, select Investment Manager name Select the name of the Investment Manager from the options available within the drop-down list. If the name of the Investment Manager is not available within the drop-down list, then scroll down to the bottom of the drop-down list and select the option for "Other...". Once this option has been selected, additional fields will populate where the name of the new Investment Manager can be added including the REEFS application number for the registration/licence application for the Investment Manager. Mandatory field if B08 is Cayman Islands B08b If in Cayman but not registered/licensed, provide Investment Manager Name If the option "Other" has been selected within the previous field, then enter the name as it appears on the governing documents. Mandatory field if B08a is Other B08bb New Investment Manager Application Ref# Provide the REEFS application number for the registration/licence application for the new Investment Manager. Mandatory field id B08a is Other B08c If not in Cayman, provide Investment Manager name Enter the name of the appointed Investment Manager. Mandatory field B09 If not in Cayman, select name of regulator of Investment Manager (if applicable) Select the name of the regulator from the options available within the drop-down list. If the name of the Regulator is not available within the drop-down list, then scroll down to the bottom of the drop-down list and select the option for "Other...". Once this option has been selected, additional fields will populate where the name of the new Investment Manager can be added. Optional field B09o If regulator is not on the list in B09, then provide name and country of regulator If the option "Other" has been selected within the previous field, then enter the name of the Regulator. Mandatory field if B09 is Other B10 Principal(s) of the Investment Manager as disclosed in the Offering document / marketing materials / summary of terms: B10i First Name Enter the First name of the Principal of the Investment Manager. To add more than one principal of the Investment Manager, use the "+" button to add as many rows as needed to provide all the names. Optional field B10ii Last Name Complete as appropriate. Optional field B10iii Address Complete as appropriate. Optional field Investment Advisor (if applicable) B11i Country Select country in the dropdown list from which the appointed Investment Advisor operates. If more than one Investment Advisor has been contracted, use the "+" button to add as many rows as needed to provide all the names. Optional field B11ii Name of Advisor Complete as appropriate. Optional field B11iii Principal First Name Complete as appropriate.

Optional field B11iv Principal Last Name Complete as appropriate. Optional field B11v Principal Address Complete as appropriate. Optional field Administrator B12 Does the fund provide its own administration services? If the fund provides its own administration services, then select "Yes"; otherwise, select "No". Please answer "Yes" only if the fund does not engage/contract an external fund administrator Mandatory field Version: 1.0 APP-101-77 Application for Private Fund Page 12 of 19 B13 Country of Administrator If the "No" option was selected in the previous field, select the country in the dropdown list from which the appointed administrator operates. Mandatory field if B12 is No B14a If in Cayman, select Administrator Name Select the appointed Administrator from the options available within the drop-down list. Optional field B14b If not in Cayman, provide Administrator Name Enter the name of the appointed Administrator. Optional field B14c Administrator's Consent Letter Select browse and attach the consent letter from the appointed Administrator. Mandatory field B15 Name of Regulator for Administrator contracted (if applicable) Select the name of the Regulator from the options available within the drop-down list. If the name of Regulator is not available within the drop-down list, then scroll down to the bottom of the drop-down list and select the option for "Other...". Once this option has been selected, additional fields will populate where the name of the Regulator can be added. Optional field B15o Name of Regulator for Administrator contracted (if applicable) If the option "Other" has been selected within the previous field, then enter the name of the Regulator. Mandatory field if B15 is Other Registrar and Transfer Agent B16 Registrar and Transfer Agent - Same as Administrator? If the service provider entered in the Administrator field will be acting as the Registrar and Transfer Agent relationship, select "Yes"; otherwise, select "No". Mandatory field B16a If no, provide name of Registrar and Transfer Agent Enter the name of the appointed Registrar and Transfer Agent. Mandatory field if B16 is No B17 Country of Registrar and Transfer Agent Select country in the dropdown list from which the appointed Registrar and Transfer Agent operates. Mandatory field if B16 is No B18 Attach consent from Registrar and Transfer Agent Select browse and attach the consent letter from the appointed Registrar and Transfer Agent. Mandatory field if B16 is No B19 Name of Regulator for Registrar and Transfer Agent contracted (if applicable) Select the name of the Regulator from the options available within the drop-down list. If the name of Regulator is not available within the drop-down list, then scroll down to the bottom of the drop-down list and select the option for "Other...". Once this option has been selected, additional fields will populate where the name of the Regulator can be added. Optional field B19o If regulator is not on the list in B19, then provide name and country of regulator If the option "Other" has been selected within the previous field, then enter the name of the Regulator. Mandatory field if B19 is Other B20 Is the Registrar and Transfer Agent independent (i.e. outside the group/common shareholder group of the investment manager)? If the Registrar and Transfer Agent is independent, select "Yes"; otherwise, select "No". The Registrar and Transfer Agent is seen to be independent if it is does not have a control relationship with the fund s investment manager and directors/operators. The Registrar and Transfer Agent is also outside the group/common shareholder group if it is not under the ownership of or common control of the investment manager. Mandatory field NAV Calculation Agent B21 NAV Calculation Agent - Same as Administrator? If the service provider entered in the Administrator field will be acting as the NAV Calculation Agent relationship, select "Yes"; otherwise, select "No". Mandatory field B21a If no, provide name of NAV Calculation Agent Enter the name of the appointed NAV Calculation Agent. Mandatory field if B21 is No B22 Country of NAV Calculation Agent Select country in the dropdown list from which the appointed NAV Calculation Agent operates. Mandatory field if B21 is No B23 Attach consent from NAV Calculation Agent Select browse and attach the consent letter from the appointed Registrar and Transfer Agent. Mandatory field if B21 is No B24 Name of Regulator for NAV Calculation Agent contracted (if applicable) Select the name of the Regulator from the options available within the drop-down list. If the name of Regulator is not available within the drop-down list, then scroll down to the bottom of the drop-down list and select the option for "Other...". Once this option has been selected, additional fields will populate where the name of the Regulator can be added. Optional field Version: 1.0 APP-101-77 Application for Private Fund Page 13 of 19 B24o If regulator is not on the list in B24 then provide name and country of regulator If the option "Other" has been selected within the previous field, then enter the name of the Regulator. Mandatory field if B24 is Other B25 Is the NAV Calculation Agent independent (i.e. outside the group/common shareholder group of the investment manager)? If the NAV Calculation Agent is independent, select "Yes"; otherwise, select "No". The NAV Calculation Agent is seen to be independent if it is does not have a control relationship with the fund s investment manager and directors/operators. The NAV Calculation Agent is also outside the group/common shareholder group if it is not under the ownership of or common control of the investment manager. Mandatory field Sub-Administrator B26i Name Enter the name of the Sub-Administrator, if applicable. If more than one Sub-Administrator has been contracted, use the "+" button to add as many rows as needed to provide all the names. Optional field B26ii Country Select country from which the contracted Sub-Administrator operates. Optional field Prime Broker B27i Name Enter the name of the Prime Broker, if applicable. If more than one Prime Broker has been contracted, use the "+" button to add as many rows as needed to provide all the names. Optional field B27ii Country Select country from which the Prime Broker operates. Optional field Custodian B28i Name Enter the name of the Custodian, if applicable. If more than one Custodian has been contracted, use the "+" button to add as many rows as needed to provide all the names. Optional field B28ii Country Select country from which the Custodian operates. Optional field Sub-Custodian B29i Name Enter the name of the Sub-Custodian, if applicable. If more than one Sub-Custodian has been contracted, use the "+" button to add as many rows as needed to provide all the names. Optional field B29ii Country Select country from which the Sub-Custodian operates. Optional field Depository B30 Name of Depositary Enter the name of the Depository, if applicable. If more than one Depository has been contracted, use the "+" button to add as many rows as needed to provide all the names. Optional field B31 Country of Depositary Select country from which the Depository operates. Optional field Stock Exchange B32 Primary Stock Exchange of Fund Select primary Stock Exchange of the fund from the dropdown list. If the fund is not listed, select the "Fund not listed" option. Mandatory field Legal Counsel B33 Legal Counsel in Cayman Select the name of the Cayman Legal Counsel from the options available within the drop-down list. Mandatory field B34 Other Legal Counsel (if applicable): B34i Name Enter the name of any other Legal Counsel used, if applicable. If more than one other Legal Counsel has been contracted, use the "+" button to add as many rows as needed to provide all the names. Optional field B34ii Country Select country from which the other Legal Counsel operates. Optional field Auditors B35 Cayman auditor (local audit sign-off) Select the name of the Cayman (local) Auditor from the options available within the drop-down list. Optional field B36 Attach Auditor's Consent Letter Select browse and attach the consent letter from the appointed Cayman (local) Auditor. Optional field

B37 Name of overseas auditor (if applicable) Enter the name of the overseas auditor, if the Cayman Auditor is working with an overseas auditor to carry out the audit work. Optional field B38 Country of overseas auditor Select country from which the overseas auditor operates. Optional field Promotor/Sponsor B39 Name of Promoter / Sponsor Enter the name of the Promotor/Sponsor. Optional field B40 Country of Promoter / Sponsor Select country from which the Promotor/Sponsor operates. Optional field Schedule C Structure Corporate Legal Structures Person Directors (Minimum of two persons or one corporate institution) CMRAI Directors (if applicable) This section is for directors who already registered with the Authority under the DRLL and is linked to the REEFS profile of the service provider completing this form. C01 Director A minimum of two individuals appointed as directors or one corporate institution is required. Select the available directors from the dropdown list. If the appointed directors are not available within the dropdown list, enter the director details within the next field for "Director(s) not yet related". Optional field CMRAI Directors not yet related (if applicable): This section is for directors who already registered with the Authority under the DRLL but is not linked (related) to the REEFS profile of the service provider completing this form. C02i Director First Name (or Corporate Director Name) Insert the first name of the director (for individuals) or the full name of corporate director. Optional field C02ii Director Last Name Enter the last name of the director (for individuals) Optional field C02iii Director ID Enter the unique 7-digit number the Director has been assigned by the Authority. Director ID number is required for this section of the form. Optional field Other Directors (if applicable): This section is for directors who are not registered with the Authority under the DRLL. C03i First name Complete as appropriate. Optional field C03ii Middle Name Complete as appropriate. Optional field C03iii Last name Complete as appropriate. Optional field C03iv Date of Birth Complete as appropriate. Optional field C03v Country of Birth Complete as appropriate. Optional field C03vi Address Complete as appropriate. Optional field Corporate Director C04 Name Insert the full name of the Corporate Director. Optional field C05 Country of Formation Select country from which the Corporate Director operates. Optional field C06 CMRAI ID (if known) Enter the unique 7-digit number the Director has been assigned by the Authority. Optional field C07 Details of Principals of Corporate Director (if applicable): C07i First name Enter the First name of the Principal of the Corporate Director. To add more than one principal of the Corporate Director, use the "+" button to add as many rows as needed to provide all the names. Optional field C07ii Middle Name Complete as appropriate. Optional field C07iii Last name Complete as appropriate. Optional field C07iv Date of Birth Complete as appropriate. Optional field C07v Country of Birth Complete as appropriate. Optional field C07vi Address Complete as appropriate. Optional field Trust Structures C08 Trustee Select the Trustee from the dropdown list. Mandatory field Partnerships C09 General Partner ("GP") Enter the name of the General Partner. Mandatory field C10 GP Country Select country from which the General Partner operates. Mandatory field C11 GP Legal Entity Identifier (LEI) If available, provide Legal Entity Identifier (LEI). Optional field C12 Details of Principals of General Partner: C12i First name Enter the First name of the Principal of the General Partner. To add more than one principal of the General Partner, use the "+" button to add as many rows as needed to provide all the names. Mandatory field C12ii Middle Name Complete as appropriate. Optional field C12iii Last name Complete as appropriate. Optional field C12iv Date of Birth Complete as appropriate. Optional field C12v Country of Birth Complete as appropriate. Optional field C12vi Address Complete as appropriate. Optional field C12vii CMRAI ID (if known) Complete as appropriate. Optional field Schedule D AML Officers D00i Is the AML function outsourced? If the AML function is outsourced, select "Yes"; otherwise, select "No". Mandatory field D00ii AML/CFT service provider name If the AML function is outsourced, insert the name of the AML/CFT service provider. Mandatory field if D00i is Yes D00iii AML/CFT service provider country If the AML function is outsourced, select the country in the dropdown list from which the appointed AML/CFT service provider operates. Mandatory field if D00i is Yes D00iv AML/CFT service provider If the AML function is outsourced, insert the address of the AML/CFT service provider. Mandatory field if D00i is Yes Details of Anti-Money Laundering Compliance Officer (ALMCO) (required) D01 CMRAI ID (if known) Insert the unique 7 digits number provided by the Authority, e.g. director ID if known. If an ID number has been issued by the Authority, this number is required to ensure accurate records. Optional field D02 Prefix Select from the options available within the drop-down list, e.g. Mr, Mrs, etc. Optional field D03 First Name Complete as appropriate. Mandatory field D04 Middle Name Complete as appropriate. Optional field D05 Last Name Complete as appropriate. Mandatory field D06 Date of Birth Use the date picker to add the correct date of birth. Optional field D07 Country of Birth Select from the options available within the drop-down list. Optional field D08 Gender Select from the options available within the drop-down list, i.e. male or female. Optional field D09 Other names (Aliases) Complete as appropriate. Optional field D10 Occupation Title The current position with the employer. Optional field D11 Employer Complete as appropriate. Optional field D12 P.O. Box Complete as appropriate. Optional field D13 Street Address Complete as appropriate. Optional field D14 City Complete as appropriate. Optional field D15 State/ Province Complete as appropriate. Optional field Version: 1.0 APP-101-77 Application for Private Fund Page 16 of 19 D16 Country Select from the options available within the drop-down list. Optional field D17 Zip / Postal Code Complete as appropriate. Optional field D18 Number Complete as appropriate. Optional field D19 Facsimile Number Complete as appropriate. Optional field D20 Address Complete as appropriate. Optional field D21 CV / Resume Select browse and add/open the corresponding document. Optional field D22 Qualifications: D22i Description Complete as appropriate. Optional field D22ii Date Use the date picker to add the correct date. Optional field D22iii Accrediting Body Complete as appropriate. Optional field D22iv Type Select from the options available within the drop-down list, i.e. Academic or Professional. Optional field Details of Deputy Anti-Money Laundering Compliance Officer (DAMLCO) (optional) D23 CMRAI ID (if known) Insert the unique 7 digits number provided by the Authority, e.g. director ID if known. If an ID number has been issued by the Authority, this number is required to ensure accurate records. Optional field D24 Prefix Select from the options available within the drop-down list, e.g. Mr, Mrs, etc. Optional field D25 First Name Complete as appropriate. Optional field D26 Middle Name Complete as appropriate. Optional field D27 Last Name Complete as appropriate. Optional field D28 Date of Birth Use the date picker to add the correct date of birth. Optional field D29 Country of Birth Select from the options available within the drop-down list. 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Optional field D64 Address Complete as appropriate. Optional field D65 CV / Resume Select browse and add/open the corresponding document. Optional field D66 Qualifications: D66i Description Complete as appropriate. Optional field D66ii Date Use the date picker to add the correct date. Optional field D66iii Accrediting Body Complete as appropriate. Optional field D66iv Type Select from the options available within the drop-down list, i.e. Academic or Professional. Optional field Details of Deputy Money Laundering Reporting Officer (DMLRO) (required) D67 CMRAI ID (if known) Insert the unique 7 digits number provided by the Authority, e.g. director ID if known. If an ID number has been issued by the Authority, this number is required to ensure accurate records. Optional field D68 Prefix Select from the options available within the drop-down list, e.g. Mr, Mrs, etc. Optional field D69 First Name Complete as appropriate. Mandatory field D70 Middle Name Complete as appropriate. Optional field D71 Last Name Complete as appropriate. Mandatory field D72 Date of Birth Use the date picker to add the correct date of birth. Optional field D73 Country of Birth Select from the options available within the drop-down list. Optional field D74 Gender Select from the options available within the drop-down list, i.e. male or female. Optional field D75 Other names (Aliases) Complete as appropriate. Optional field D76 Occupation Title The current position with the employer. Optional field D77 Employer Complete as appropriate. Optional field D78 P.O. Box Complete as appropriate. Optional field Version: 1.0 APP-101-77 Application for Private Fund Page 18 of 19 D79 Street Address Complete as appropriate. Optional field D80 City Complete as appropriate. Optional field D81 State/ Province Complete as appropriate. Optional field D82 Country Select from the options available within the drop-down list. Optional field D83 Zip / Postal Code Complete as appropriate. Optional field D84 Number Complete as appropriate.

Optional field D85 Facsimile Number Complete as appropriate. Optional field D86 Address Complete as appropriate. Optional field D87 CV / Resume Select browse and add/open the corresponding document. Optional field D88 Qualifications: D88i Description Complete as appropriate. Optional field D88ii Date Use the date picker to add the correct date. Optional field D88iii Accrediting Body Complete as appropriate. Mandatory field D88iv Type Select from the options available within the drop-down list, i.e. Academic or Professional. Mandatory field 5 REUSING PREVIOUS SUBMISSIONS What is XBRL ? XBRL (eXtensible Business Reporting Language), is a standards-based way to communicate and exchange business information between business systems. Data can be used from a previous reporting period or submission instead of starting from fresh. You must have entered data into at least one form using the online portal and have gone through a successfully validated submission. Example: Rapidly re-using the Anti-Money Laundering officers application for (MLO-154-99) Process: 1. Select Submitted Requests to view previously submitted forms and select the one that contains the data. 2. Click on Download: XBRL Instance in the top right corner and save the file to your PC or network drive. 3. Close the form. 4. Select New Request and select the required new/empty form (e.g. MLO-154-99). 5. Click on Upload: XBRL Instance in the top right corner. 6. Click on Browse... and navigate to the file that was saved in step 2 above (usually in the "Downloads" folder). 7. Click Upload . 8. The system will then copy each data point from the XBRL file into the fields of the current form. 9. The system displays the message Import Successful to indicate that the data was all copied OK. 10. The user can then review and make changes to the data. 11. Proceed as usual through the rest of the validation process. These instructions can be used for New Application forms, Change Request forms and Financial Returns. 6 TROUBLESHOOTING 6.1 COMMON VALIDATION RULES Pending 6.2 UNDERSTANDING OTHER ERRORS Pending