



# Cayman Monetary Regulatory Authority International

At the forefront of financial regulation, the Cayman Monetary Regulatory Authority International (CMRAI) is dedicated to upholding the highest standards of financial oversight and compliance. Our mission is to safeguard the stability and integrity of the global financial system by ensuring that financial services operate within a framework of transparency, accountability, and excellence.

As a trusted partner to financial institutions worldwide, CMRAI provides rigorous supervision, innovative solutions, and strategic guidance to foster a secure and thriving financial environment. With decades of experience and a commitment to global standards, we stand as a pillar of trust and security in an ever-evolving financial landscape.

With a legacy of excellence in financial oversight, the Cayman Monetary Regulatory Authority International (CMRAI) is a beacon of trust in the international financial community. Our role extends beyond regulation; we are innovators, collaborators, and protectors of the global financial ecosystem. By fostering compliance, promoting best practices, and embracing technological advancements, CMRAI ensures that financial services remain resilient and adaptable in a dynamic global market.

Our comprehensive approach to regulation encompasses a deep understanding of financial risks and a proactive stance on emerging challenges. We are committed to empowering financial institutions with the tools and guidance necessary to navigate complex regulatory landscapes, thereby contributing to global economic stability and growth.

FORM MF3 THE Cayman Monetary Regulatory Authority International P.O. Box 10052, Elizabethan Square, Grand Cayman, Cayman Islands, and B.W.I. : 345-949-7089, Fax 345-949-2532 THE MUTUAL FUNDS LAW (2003 REVISION) APPLICATION FOR REGISTRATION OF REGULATED MUTUAL FUND UNDER SEC. 5 OF THE MUTUAL FUNDS LAW NAME OF FUND NOTES: 1. Funds must be licensed before carrying on business in or from the Islands. 2. Additional information may be required by the Managing Director to determine the application. The following information must be submitted with this form: 1. A copy of the Current Offering Document or latest draft. 2. If an existing fund, a copy of the most recent annual audited accounts. 3. A Certified copy of Certificate of Incorporation/Registration issued by the Registrar of companies together with a completed Form MF3 (Synopsis of Offering Document). 4. Completed Personal Questionnaires, three references and police clearance certificates for: a) all directors of the mutual fund; or b) all directors of the trustee; or c) the general partner (or directors of the general partner if a limited partnership) 5. Background details on the service providers if not included in the offering document. 6. The auditor s letter of consent should indicate acceptance of the appointment as auditor, the name of the fund, date of financial statements, what accounting principles will be used as a statement that they are aware of and agree to fulfill their obligations pursuant to Section 34 of the Mutual Funds Law. 7. The administrator s letter of consent which should indicate acceptance of the appointment as administrator, the name of the fund and a summary of services to be provided. FORM MF3 8. When submitting the form please advise who will be responsible for dealing with queries and the payment of fees, i.e., the registered office or (if applicable) local administrator. 9. The declaration on this form must be signed by an operator. As we are allowing faxed copies there will be no exception to this rule. Please note that the operator s actual business address including a or fax number should be included. 10. The completed From MF3 and any supporting material should be submitted to: THE MANAGING DIRECTOR Cayman Monetary Regulatory Authority International GRAND CAYMAN TELEPHONE (345) 949 7089 FORM MF3 OFFERING DOCUMENT SYNOPSIS NAME OF FUND 1. (a) Type of entity: (c) Minimum investment for investor:

\_\_\_\_\_  
 \_\_\_\_\_

\_\_\_\_\_ (b) Country of incorporation/registration:  
 \_\_\_\_\_

\_\_\_\_\_ (d) Actual or expected size of  
 shareholder base (for each class if multi-fund): 2. Description of equity interest - specify:

\_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

\_\_\_\_\_ (a) maximum and minimum aggregate  
 amount of offering (for each class if a multi-fund):

\_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

\_\_\_\_\_ (e) Frequency of valuation and  
 shareholder issues and redemptions: \_\_\_\_\_  
 \_\_\_\_\_

\_\_\_\_\_ (b) Describe (for each class if a multi-fund),  
as set out in the Offering Document, the following: (f) Base currency of equity interest:  
(i) Investment objectives: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

(g) Whether issued in bearer or  
registered form: \_\_\_\_\_

\_\_\_\_\_ (ii) Investment restrictions: 3. Specify  
name(s) of: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

(a) Directors:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

(iii) Risk factors of the fund:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Or (b) Trustee:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

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Or (c) General Partner:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_ (And list directors of General Partner if  
Limited Partnership): \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_

4. Specify name and address of  
Registered Office as defined in the Mutual Funds Law:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

5. Specify names and addresses of all  
service providers including: (a) Distributor:

\_\_\_\_\_  
\_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_

(b) Custodian:

\_\_\_\_\_  
\_\_\_\_\_

(c) Promoter/Sponsor:

(e) Administrator

\_\_\_\_\_  
\_\_\_\_\_

(f) Investment or Trading Manager:

\_\_\_\_\_  
\_\_\_\_\_

(g) Investment of Trading Advisors:

\_\_\_\_\_  
\_\_\_\_\_

(h) Bankers:

\_\_\_\_\_  
\_\_\_\_\_

(i) Lawyers:

\_\_\_\_\_  
\_\_\_\_\_

6. Specify: (a) Name and address of auditors; and \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_

(d) Manager:

\_\_\_\_\_  
\_\_\_\_\_

(b) financial year end:

\_\_\_\_\_  
\_\_\_\_\_

7. Identify Stock Exchange if listed, or if proposed to be listed. \_\_\_\_\_

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DECLARATION I declare that to the best of my knowledge and belief the information given above is correct. Signed: \_\_\_\_\_ (By operator on

behalf of the mutual fund) Name: \_\_\_\_\_ (In

BLOCK CAPITALS) Position held: \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_

: \_\_\_\_\_ Fax: \_\_\_\_\_

Date: \_\_\_\_\_