



Cayman Monetary Regulatory Authority International

At the forefront of financial regulation, the Cayman Monetary Regulatory Authority International (CMRAI) is dedicated to upholding the highest standards of financial oversight and compliance. Our mission is to safeguard the stability and integrity of the global financial system by ensuring that financial services operate within a framework of transparency, accountability, and excellence.

As a trusted partner to financial institutions worldwide, CMRAI provides rigorous supervision, innovative solutions, and strategic guidance to foster a secure and thriving financial environment. With decades of experience and a commitment to global standards, we stand as a pillar of trust and security in an ever-evolving financial landscape.

With a legacy of excellence in financial oversight, the Cayman Monetary Regulatory Authority International (CMRAI) is a beacon of trust in the international financial community. Our role extends beyond regulation; we are innovators, collaborators, and protectors of the global financial ecosystem. By fostering compliance, promoting best practices, and embracing technological advancements, CMRAI ensures that financial services remain resilient and adaptable in a dynamic global market.

Our comprehensive approach to regulation encompasses a deep understanding of financial risks and a proactive stance on emerging challenges. We are committed to empowering financial institutions with the tools and guidance necessary to navigate complex regulatory landscapes, thereby contributing to global economic stability and growth.

CAYMAN ISLANDS Supplement No. 1 published with Extraordinary Gazette No. 45 dated July 22, 2010. THE DORMANT ACCOUNTS LAW (LAW 28 OF 2010)

THE DORMANT ACCOUNTS (FORMS) REGULATIONS, 2010 The Dormant Accounts (Forms) Regulations, 2010 THE DORMANT ACCOUNTS (FORMS) REGULATIONS, 2010 ARRANGEMENT OF REGULATIONS 1. Citation 2. Notice 3. Report 4. Certificate of compliance SCHEDULE - Forms 2 The Dormant Accounts (Forms) Regulations, 2010 CAYMAN ISLANDS THE DORMANT ACCOUNTS LAW, 2010 (LAW 28 OF 2010) THE DORMANT ACCOUNTS (FORMS) REGULATIONS, 2010 In exercise of the powers conferred by section 18 of the Dormant Accounts Law, 2010, the Governor in Cabinet makes the following Regulations - 1. These Regulations may be cited as the Dormant Accounts (Forms) Regulations, 2010. Citation 2. The notice under section 6 of the Law shall be in the form prescribed in Form 1 of the Schedule. Notice Schedule - Form 1 3. The report under section 7(3) of the Law shall be in the form prescribed in Form 2 of the Schedule. Report Schedule - Form 2 4. The certificate of compliance under section 7(6) of the Law shall be in the form prescribed in Form 3 of the Schedule. Certificate of compliance Schedule - Form 3 3 The Dormant Accounts (Forms) Regulations, 2010 SCHEDULE FORMS (Regulation 2) FORM 1 NOTICE THE DORMANT ACCOUNTS LAW, 2010 (Section 6) Name of financial institution/Number of financial institution..... Address of financial institution..... Change of name, if any, of the financial institution..... The public is hereby given notice that [name of financial institution] holds the following dormant accounts - Account number Account name The date account was opened The public is also hereby given notice of the following - 1. Unless one or more of the following transactions are effected on a dormant account listed above on or before 31 st December next following, the monies in the dormant account will be transferred to the general revenue of the Islands without further notice - 4 The Dormant Accounts (Forms) Regulations, 2010 (a) increase or decrease the amount held in the financial institution 1 ; (b) present the passbook or other record for the crediting of interest or dividends in respect of the items enumerated in section 4 (6)(a) and (b) of the Dormant Accounts Law, 2010; (c) correspond in writing with the financial institution concerning the monies; (d) in the case of a trust, make a claim under the trust; or (e) otherwise indicate an interest in the monies as evidenced by a memorandum concerning the monies written by the financial institution. 2. Subject to the Dormant Accounts Law, 2010, on the transfer of the monies in the dormant account to the general revenue of the Islands, the dormant account holder will no longer have any right against the financial institution to repayment of the monies transferred, but the dormant account holder will have against the Government such right to repayment of the monies transferred that the dormant account holder would have had against the financial institution. 3. Any interested person should contact the financial institution mentioned above to establish if that person is a dormant account holder. Authorised Officer Dated this _____ day of _____ 1 Interest paid by a financial institution on monies held in the financial institution shall not be regarded as a transaction which increases the amount held in the financial institution pursuant to section 4(5) of the Dormant Accounts Law, 2010. 5 The Dormant Accounts (Forms) Regulations, 2010 FORM 2 (Regulation 3) REPORT THE DORMANT ACCOUNTS LAW, 2010 (Section 7(3)) Name of financial institution/Number of financial institution..... Address of financial

institution..... Change of name, if any, of the financial
 institution..... Total amount of monies
 transferred..... Total number of the dormant
 accounts..... Details of dormant account holders - Name
 Account Number Date of Birth Last known address Amount transferred
 Authorized Officer Dated this _____ day of _____ 6
 The Dormant Accounts (Forms) Regulations, 2010 FORM 3 (Regulation 4) CERTIFICATE
 OF COMPLIANCE THE DORMANT ACCOUNTS LAW, 2010 (Section 7(6)) Name of
 financial institution/Number of financial institution..... Address of financial
 institution..... I, [name of authorized officer] hereby
 certify that the above-named financial institution has, for the period [],
 complied with the provisions of the Dormant Accounts Law, 2010 with respect to the
 following - The financial institution has given notice to dormant accounts holders in
 accordance with section 5 of the Dormant Accounts Law, 2010. The financial institution has
 published the notice, if any, required under section 6 of the Dormant Accounts Law,
 2010. The financial institution held dormant accounts to which section 7(1) of the Dormant
 Accounts Law, 2010 applies and has transferred the monies from such dormant
 accounts to the general revenue of the Islands under that section and has submitted the
 report required under section 7(3) of the Dormant Accounts Law, 2010 to the Minister.
 The financial institution did not hold dormant accounts to which section 7(1) of the Dormant
 Accounts Law, 2010 applies and has submitted a report to that effect under section
 7(5) of the Dormant Accounts Law, 2010. The financial institution has kept and
 maintained a register in accordance with section 8 of the Dormant Accounts Law, 2010.
 Authorized Officer Dated this _____ day of _____ 7
 The Dormant Accounts (Forms) Regulations, 2010 Made in Cabinet this 20 th day of
 July, 2010. Kim Bullings Clerk of Cabinet. 8