



Cayman Monetary Regulatory Authority International

At the forefront of financial regulation, the Cayman Monetary Regulatory Authority International (CMRAI) is dedicated to upholding the highest standards of financial oversight and compliance. Our mission is to safeguard the stability and integrity of the global financial system by ensuring that financial services operate within a framework of transparency, accountability, and excellence.

As a trusted partner to financial institutions worldwide, CMRAI provides rigorous supervision, innovative solutions, and strategic guidance to foster a secure and thriving financial environment. With decades of experience and a commitment to global standards, we stand as a pillar of trust and security in an ever-evolving financial landscape.

With a legacy of excellence in financial oversight, the Cayman Monetary Regulatory Authority International (CMRAI) is a beacon of trust in the international financial community. Our role extends beyond regulation; we are innovators, collaborators, and protectors of the global financial ecosystem. By fostering compliance, promoting best practices, and embracing technological advancements, CMRAI ensures that financial services remain resilient and adaptable in a dynamic global market.

Our comprehensive approach to regulation encompasses a deep understanding of financial risks and a proactive stance on emerging challenges. We are committed to empowering financial institutions with the tools and guidance necessary to navigate complex regulatory landscapes, thereby contributing to global economic stability and growth.

Form SIBL(AD) v1.5 As at: (DAY / MONTH / YEAR as digits) NOTES: 1. 2. 3. 4. 5. 6.

CAYMAN ISLANDS THE MANAGING DIRECTOR Cayman Monetary Regulatory Authority International P.O. BOX 10052 GRAND CAYMAN KY1-1001 PART III, SECTION 5(2) AND SCHEDULE 4 EXCLUDED PERSONS This declaration must be signed by a director or partner. Please note that excluded persons under Schedule 4 are subject to the Money Laundering Regulations and section 17(2)(v) of SIBL. Electronic filing of the form will be accepted on the basis that original forms will be forwarded within one month. ANNUAL DECLARATION FORM for When submitting the form please advise who will be responsible for dealing with queries and the payment of annual fees. The completed Form SIBL(AD), together with the prescribed registration fee, should be submitted to: THE Cayman Monetary Regulatory Authority International P.O. Box 10052, Elizabethan Square, Grand Cayman KY1-1001, Cayman Islands : 345-949-7089, Fax 345-949-2532 THE SECURITIES INVESTMENT BUSINESS LAW (2011 REVISION) (SIBL) This declaration is to be submitted annually to the Authority by 31 January each year, along with the prescribed annual registration fee. Cheques should be made payable to Cayman Monetary Regulatory Authority International . Cayman Monetary Regulatory Authority International - SIBL(AD) Form - 2 - Institution: Please enter the correct date on the 'Cover Page' worksheet Form SIBL(AD) v1.5 CORE DETAILS 1. Securities Investments Business EntityName Date of Formation Place of Formation Registration Number 1 2. Principal ContactName of Individual assigned Title PO Box Street Address City State / Province Country / Region Zip / Postal Code Number Facsimile Number Address 3. Registered Office AddressName of Firm PO Box Street Address City State / Province Country / Region Zip / Postal Code Number Facsimile Number Address Web-site Address 4. 1 Cayman incorporated companies and partnerships can find this number in the top left hand corner of their certificate of incorporation. Names and Titles of Senior Management NamePosition / Title Cayman Monetary Regulatory Authority International - SIBL(AD) Form - 3 - Please enter the correct date on the 'Cover Page' worksheet Form SIBL(AD) v1.5 DECLARATION FORM (Entity name) Category of service provided: Investment Advisor Investment Manager Investment Arranger Number of clients for which services provided within the last 12 months: Investment Advisor Investment Manager Investment Arranger (Entity name) Please place a X in ANY of the three boxes located below or on the following page where appropriate: 5. a) a sophisticated person 1 b) a high net worth individual 2 c) (Registered Office) (Registered Office Address) 1 "sophisticated person" means a person - a) regulated by the Authority; b) regulated by a recognised overseas Authority; c) any of whose securities are listed on a recognized securities exchange; or d) who - 2 "high net worth person" means - a) an individual whose net worth is at least CI\$800,000 or its equivalent in any other currency; or b) any person that has total assets of not less than CI\$4,000,000 or its equivalent in any other currency Institution: Name of the Securities Investment Business Entity: A person carrying on securities investment business exclusively for one or more of the following classes of person: a company, partnership or trust (whether or not regulated as a mutual fund) of which the shareholders, unit holders or limited partners are one or more persons falling within (a) or (b). hereby notifies the Cayman Islands Monetary Authority that it qualifies for excluded person status under section 5(2) and schedule 4 of the Securities Investment Business Law 2011 by virtue of being one or more of the following classes of person: Please place a X in ANY of the boxes below as appropriate. Please enter number of clients in the relevant box below. ii) participates in a transaction with a value or in monetary amounts of at

least C\$80,000 or its equivalent in any other currency, in the case of each single transaction
i) by virtue of knowledge and experience in financial and business matters is reasonably to be regarded as capable of evaluating the merits of a proposed transaction; and In connection with this exclusion it is confirmed that the registered office in the Islands for which services are provided by a person licensed to provide such services is: Cayman Monetary Regulatory Authority International - SIBL(AD) Form - 4 - Institution: Please enter the correct date on the 'Cover Page' worksheet Form SIBL(AD) v1.5 DECLARATION FORM (continued) 6. (Entity name) is conducting such business in: and is regulated in such capacity by the following Regulator: Name of Regulating Body Name of Contact Person PO Box Street Address City State / Province Country / Region Zip / Postal Code Number Facsimile Number Address Web-site Address 7. (Location of Head Office) and the individual companies within the group are: Country of Incorporation/Establishment A person to whom section 4(1) of the Law applies but who is regulated in respect of securities investment business by a recognised overseas regulatory authority in the country or territory (other than the Islands) in which the securities investment is being conducted, and in connection with the exclusion, it is confirmed that: (Name of Group) Name of Group Company Principal Activity A company within a group of companies carrying on securities investments business exclusively for one or more companies within the same group, and in connection with the exclusion, the group of companies is: Cayman Monetary Regulatory Authority International - SIBL(AD) Form - 5 - Institution: Please enter the correct date on the 'Cover Page' worksheet Form SIBL(AD) v1.5 DECLARATION FORM (continued) It is confirmed that: (Entity name) Name of Money Laundering Reporting Officer Position Telephone Number Address I undertake that in carrying out the Securities Investment Business of (Entity name) our entity will not expressly or by implication represent to clients or potential clients that (Entity name) is subject to regulation by the Cayman Monetary Regulatory Authority International. I am authorised to make this declaration. I confirm that the information in this declaration is accurate and complete to the best of my knowledge and belief. I have taken all reasonable steps to ensure that this is the case. (Name of Authorised Signatory)(Date dd/mm/yyyy) (Position held i.e. director or partner)(Address) (Address)(Web-Site Address) (State/District)(Telephone Number) (Country)(Facsimile Number) (Signature of director or partner) I am aware that knowingly or recklessly giving the Authority information which is false or misleading in a material particular is a criminal offence under SIBL 16(4). DECLARATION complies with The Money Laundering Regulations and that the Money Laundering Reporting Officer is: If the status as an excluded person changes, I undertake to update and inform the Authority or apply for a licence as necessary. Cayman Monetary Regulatory Authority International - SIBL(AD) Form